

## **CITY OF SAN ANTONIO**

## **Contracts Disclosure Form**

Please print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see Section 2-59 through 2-61 of the City's Ethics Code.

*This is a:		rection Oupdate to previous subr	nission
*1) Name of person sub	mitting this disclosure	form.	
* First	M.I.	* Last	Suffix
Sarah		Watson	
*2) Contract Information	n		
a) Contract or Project Name	):		
Architectural Services for the 20	022-2027 General Obligation Bo	nd Program (RFQ #PW060822DH)	
b) Originating Department:			
Public Works			•
*3) Disclosure of partie	s, owners, and closely i	elated persons.	
a) Name of individual(s) or the contract, if awarded.)	entity(ies) seeking a contra	act with the city. (NOTE: Give e	xact legal name as it will appear on
Name			
Beaty Palmer Architects	Inc.		
b) Name and title of contract	t signatory		
Name	Title		
James Michael Beaty	vice presi	dent	
c) Name of all owners, boar	d members, executive con	nmittee members, and officers	of entities listed in question 3a.
Туре	Name		
Owner	Terry Palr	ner	
Owner	James Mi	chael Beaty	
Owner	Sarah Wa	itson	
Owner	Cory Haw	kins	
Owner	Clayton H	lagendorf	
Owner	Michael S	Sutherland	
*4) List any individual(s the individual or entity		partner, parent, joint ventur	re, or subsidiary entity(ies) of
✓ Not applicable. Contracting	party(ies) does not have partr	ner, parent, joint venture, or subsidi	ary entities. bers, executive committee members, and
*5) List any individuals	or entities that will be s	subcontractors on this conti	ract.
<ul> <li>Not applicable. No subcontr</li> <li>Subcontractors may be reta</li> <li>✓ List of subcontractors, include</li> </ul>	ined, but have not been select	ted at the time of this submission.	
Owner	Business	Name	
Travis Wiltshire	CNG Engi	ineering, PLLC	

Craig Rios, Thomas Taylor, Michael Brack, Stephen Price, Tanya Fronzaglio, Luke Nelson, Rodolfo DArlach, Jeremy Klahorst, Lawrence Rickels, David Lassetter, Darryl Hassell, Chris Davis, Jeffrey Koke, Randy Lackner, Scott McGivney, Brian Dahm, Carla Pate, Greg Diana	Datum Rios, LLC
Hernan Jaramillo, Carl Bain, Lori Dullnig-Warlen, Gregorio Lopez Jr., Russell Rincon, Martin Palacios, Cassio Conceicao, Lorena Carter	Bain Medina Bain, Inc.
Peter Hinton, Bobby Eichholz, Danny Watson, Robert Deegan	Rialto Studio, Inc.
Carrie Combs, Brian Combs	COMBS Consulting Group, LP
Robert Arias, Jeremy Arias, Amber Hawkins, Eric Arias	Arias & Associates, Inc.

\*6) List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.

- Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.
- List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:

#### \*7) Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a. any individual seeking contract with the city (Question 3)
- b. any owner or officer of entity seeking contract with the city (Question 3)
- c. any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d. any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e. the spouse of any individual listed in response to (a) through (d) above
- f. any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

#### ☑ List of contributions:

Name of Contributor	To Whom	Date of Contribution	Total Amount of Contribution
Travis Wiltshire	Ron Nirenberg	02/10/2021	\$500.64
Robert Arias	Ronald Nirenberg	03/19/2021	\$1,000.00
Arias & Associates, Inc.	Build SA PAC	03/29/2022	\$3,000.00

### **Updates on Contributions Required**

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

### Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under Section 2-309 of the Municipal Campaign Finance Code, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a. Any individual seeking a high-profile contract;
- b. Any owner, officer, officer of board, and executive committee member of an entity seeking a high-profile contract, excluding board officers and executive committee members of 501(c)(3), 501(c)(4) and 501(c)(6) non-profit organizations not created or controlled by the City whose board service is done strictly as a volunteer with no financial compensation and no economic gain from the non-profit entity;
- c. The legal signatory of the high-profile contract;
- d. Any attorney, lobbyist or consultant hired or retained to assist the individual or entity in seeking a high-profile contract;
- $e. \ Subcontractors \ hired \ or \ retained \ to \ provide \ services \ under \ the \ high-profile \ contract; \ and$
- f. Any first-degree member of the household of any person listed in (1), (2), (3) or (5) of this subsection.

<u>Penalty.</u> A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

#### \*8) Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.

I am aware of the following conflict(s) of interest:

#### \*9) Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

☑ No		
Yes  Currently, or within the past twelve (12) months 10% or more of the voting stock or shares, or 1	·	ployee of a business entity in which you, your spouse, parent, child own
✓ No  Yes	0% of more of the fall market	value served on a only board of commission:
Currently, or within the past twelve (12) months	·	nployee of a business entity who owns 10% or more of the voting stock or for this contract, served on a City board or commission?
✓ No  ✓ Yes	, , , , , , , , , , , , , , , , , , , ,	
Notice Regarding Prohited Intere	est in Contracts.	
		ertain more-than-advisory boards and commissions, as well as their
close family members and any businesses the during their board or commission service. The	y or their families hold a 10% of prohibition extends to subcont ne board or commission and the	or greater ownership interest from obtaining a contract with the City tracts on City contracts, and would also apply to parent, subsidiary or neir family. Please see Section 141 of the City Charter and Section 2-52
•	tracts for one year after leavin	eir family members and the businesses they own will continue to be ng City service. Please see Section 2-58 of the City Ethics Code
Please note that any contract in place at the tir modified, or changed in any manner during the		ity officer may remain in effect, but cannot be amended, extended, nore-than-advisory board.
If you have any questions, please contact the 0	Office of the City Attorney to re	equest to speak with a member of the Ethics staff: (210) 207-8940
	Acknowled	gements
*1. <u>Updates Required</u>		
discretionary contract, housing an subject of action by the City Coun	d retail development incentive cil, and no later than 5 busines	revised form if there is any change in the information before the to, or the purchase, sale or lease of real estate to or from the City is the ss days after any change has occurred, whichever comes first. This initial submission and up until 30 calendar days after contract has been
*2. No Contact with City Officials or Staff du	rring Contract Evalution	
_	officials and employees regard	ity contract or any other person acting on behalf of that person or entity ing the contract after a Request for Proposal (RFP), Request for
officials or employees, the contact	t will take place in accordance on set out in Section 2-61 of the	osted as a City Council agenda item. If contact is required with city with procedures incorporated into the solicitation documents. Violation e City Ethics Code by respondents or their agents may lead to
*3. Contribution Prohitibitions for "High-Pro	ofile" Contracts	
○ This is not a high-profile contract. This is a high-profile contract.		
individuals are prohibited from ma political action committees that ma released until 30 calendar days af	aking campaign or officeholder ake contributions to City Coun- fter the contract has been awa ng the contract, the spouse of	profile contract by the city. I further acknowledge that the following contributions to members of City Council, candidates for City Council, or cil elections from the 10th business day after the solicitation has been r
I warrant that no contributions have Code.	e been made by these individ	uals in violation of Section 2-309 of the Municipal Campaign Finance
*4. Conflicts of Interest Questionnaire (CIQ) Chapter 176 of the Local Government Code re Office of the City Clerk, even if contract is not o	quires all contractors and vend	dors to submit a Conflict of Interest Questionnaire Form (CIQ) to the
I acknowledge that I have been ad	dvised of the requirement to file	e a CIQ form under Chapter 176 of the Local Government Code.
	*Oa	th
I swear or affirm that the statemer knowledge and belief are true, cor		Disclosure Form, including any attachments, to the best of my
* Your Name:		Title:
Sarah Watson		vice president
* Company Name or DBA:		Date:

Please print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing

Beaty Palmer Architects Inc.

7/21/2022

GR.1075-01.PUR.REPORT.Contracts Disclosure Form Rev. 09/07/2021



# **CITY OF SAN ANTONIO**

## **Contracts Disclosure Form**

Please print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see Section 2-59 through 2-61 of the City's Ethics Code.

*This is a:	New Submission	O Correction	Update to previous submission	on .
*1) Name of pers	son submitting th	s disclosure f	orm.	
* First		M.I.	* Last	Suffix
Briant			Harkiewicz	
*2) Contract Info	rmation			
a) Contract or Proje	ct Name:			
Architectural Services	for the 2022 - 2027 Ger	neral Obligation Bor	nd Program, RFQ #PW060822Dh	1
b) Originating Depa	rtment:			
Public Works				•
*3) Disclosure of	f parties, owners,	and closely re	elated persons.	
a) Name of individu appear on the contr		eeking a contrac	ct with the city. (NOTE: Give	e exact legal name as it will
Name				
	)			
Marmon Mok, LLF				
Marmon Mok, LLF b) Name and title of				
		Title		
b) Name and title of	contract signatory	<b>Title</b> Partner		
b) Name and title of Name Briant Harkiewicz	contract signatory	Partner	mittee members, and office	rs of entities listed in question
b) Name and title of Name  Briant Harkiewicz  c) Name of all owne	contract signatory	Partner	mittee members, and office	rs of entities listed in question

Owner	Briant Harkiewicz
Owner	Mary Bartlett
Owner	Angel Garcia
Owner	Tiffany Long
Owner	Edgar Farrera
*4) List any individual(s) or entity entity(ies) of the individual or entity	(ies) that is a partner, parent, joint venture, or subsidiary ty listed in Question 3.
_	es not have partner, parent, joint venture, or subsidiary entities. r subsidiary entities, and all the owners, board members, executive committee
Туре	Name
Partner	Greg Houston
Partner	Briant Harkiewicz
Partner	Mary Bartlett
Partner	Angel Garcia
Partner	Tiffany Long
Partner	Edgar Farrera
Names of owners, executive committee mem	bers, officers, partners, and directors:
Туре	Name
Partner	Greg Houston
Partner	Briant Harkiewicz
Partner	Mary Bartlett
Partner	Angel Garcia
Partner	Tiffany Long
Partner	Edgar Farrera
*5) List any individuals or entities	that will be subcontractors on this contract.
<ul> <li>Not applicable. No subcontractors will be</li> <li>Subcontractors may be retained, but hav</li> <li>✓ List of subcontractors, including the name</li> </ul>	e not been selected at the time of this submission.
Owner	Business Name

Thomas Campbell (Owner); Daniel Tak (Owner); Amol Kulkarni (Board Member); Thomas Hamer (Board Member)	Alpha Consulting Engineers
Travis Wiltshire (Owner)	CNG Engineering, PLLC
Ayda Gonzalez (Owner)	AG3 Group, LLC
Leticia Zavala (Owner); Albert Fernandez (Owner)	CFZ Group, LLC
Kiwa Limited Group (Owner); Paul Hesselink - CEO (Board Member); Luc Leroy - COO (Board Member); Rudy Niemantsverdriet - CFO (Board Member); Ivonne Verlinde - CITO (Board Member)	Raba Kistner, Inc.
Carrie Combs (Owner); Brian Combs (Owner)	COMBS Consulting Group, LP
Gilead Ziemba (Owner); Temple Kennedy (Owner)	Fire Protection Consulting Group, LLC

\*6) List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.

✓ Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.

List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:

## \*7) Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months to any current member of City Council, former member of City Council, or to any political action committee that contributes to City Council elections:

- a. any individual seeking contract with the city (Question 3)
- b. any owner or officer of entity seeking contract with the city (Question 3)
- c. any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d. any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e. the spouse of any individual listed in response to (a) through (d) above
- f. any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

☐ Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

#### ✓ List of contributions:

Name of Contributor	To Whom	Date of Contribution	Total Amount of Contribution
Greg Houston	Ron Nirenberg	3/2022	\$500.00

## **Updates on Contributions Required**

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

## **Notice Regarding Contribution Prohibitions for "High-Profile" Contracts**

Under Section 2-309 of the Municipal Campaign Finance Code, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a. Any individual seeking a high-profile contract;
- b. Any owner, officer of board, and executive committee member of an entity seeking a high-profile contract, excluding board officers and executive committee members of 501(c)(3), 501(c)(4) and 501(c)(6) non-profit organizations not created or controlled by the City whose board service is done strictly as a volunteer with no financial compensation and no economic gain from the non-profit entity;
- c. The legal signatory of the high-profile contract;
- d. Any attorney, lobbyist or consultant hired or retained to assist the individual or entity in seeking a high-profile contract;
- e. Subcontractors hired or retained to provide services under the high-profile contract; and
- f. Any first-degree member of the household of any person listed in (1), (2), (3) or (5) of this subsection.

<u>Penalty.</u> A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

## \*8) Disclosure of conflict of interest. Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials? I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission. I am aware of the following conflict(s) of interest: \*9) Prohibited Interest in Contracts. Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission? **✓** No Yes Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission? **✓** No ☐ Yes Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

### **Notice Regarding Prohited Interest in Contracts.**

✓ No

✓ Yes

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with

the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see Section 2-58 of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940

## **Acknowledgements**

### \*1. <u>Updates Required</u>

I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

#### \*2. No Contact with City Officials or Staff during Contract Evalution

I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in Section 2-61 of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

### \*3. Contribution Prohitibitions for "High-Profile" Contracts

- O This is not a high-profile contract.
- This is a high-profile contract.
- I acknowledge that this contract has been designated as a high-profile contract by the city. I further acknowledge that the following individuals are prohibited from making campaign or officeholder contributions to members of City Council, candidates for City Council, or political action committees that make contributions to City Council elections from the 10th business day after the solicitation has been released until 30 calendar days after the contract has been awarded: legal signatory to contract individual(s) seeking the contract, owner or officer of an entity seeking the contract, the spouse of any of these individuals, and any attorney, lobbyist, or consultant retained to assist in seeking the contract.
- I warrant that no contributions have been made by these individuals in violation of Section 2-309 of the Municipal Campaign Finance Code.

### \*4. Conflicts of Interest Questionnaire (CIQ)

Chapter 176 of the Local Government Code requires all contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

✓ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

<b>✓</b>	I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my
	knowledge and belief are true, correct, and complete.

* Your Name:	Title:
Briant Harkiewicz	Partner
* Company Name or DBA:	Date:
Marmon Mok, LLP	8/1/2022

Please print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing

P.O. Box 839966

San Antonio, Texas 78283-3966

GR.1075-01.PUR.REPORT.Contracts Disclosure Form Rev. 09/07/2021



# **Contracts Disclosure Form**

This form can be completed online at www.sanantonio.gov/ethics. If form cannot be completed online, please print, complete and submit with proposal. All questions must be answered.

For deta	ils on use of this form, se	e Section 2-59 throu	ugh 2-61 of the City's Ethics C	ode.
* This is a:	X New Submission	Correction	Update to previous sub	omission
* 1) Name of person sul	bmitting this disclos	sure form.		
*First <u>Javier</u>	*M.I	<b>*Last</b> _P <sub>2</sub>	aredes	_ Suffix
* 2) Contract Information	on			
a) Contract or Project N <u>am</u> e b) Originating Department: _				
* 3) Disclosure of partie	es, owners, and clos	ely related pers	ons.	
a) Name of individual(s) or e (NOTE: Give exact legal name			led.)	
Alta Architects Inc.				
b) Name and title of contract	t signatory			
Geoffrey Edwards, AIA- CEO	Principal /			
c) Name of all owners, board	members, executive co	ommittee members	, and officers of entities list	ed in question 3a.
Geoffrey Edwards, AIA Christopher Sanchez				

* 4) List any individual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entity listed in Question 3.
Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities.
If applicable, list below names and type of relationship (partner, parent, joint venture or subsidiary entities, and all the owners, board members, executive committee members, officers of each entity):
* 5) List any individuals or entities that will be subcontractors on this contract.
Not applicable. No subcontractors will be retained for this contract.
Subcontractors may be retained, but have not been selected at the time of this submission.
If applicable, list below subcontractors, including the name of the owner(s), and business name:
VLK Architects; Gopi Akalkotkar RSCR Inc.; Ronald A. Podojil CNG Engineering; Travis E. Wiltshire Moy Tarin Ramirez Engineers; Raymond Tarin Jr. Dunaway Associates, LP; Bryan Kye Mask GGC Engineers; Roberto P. Gonzalez
* 6) List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4,
or 5 to assist in seeking this contract.
or 5 to assist in seeking this contract.
or 5 to assist in seeking this contract.  Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.
or 5 to assist in seeking this contract.  Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.
or 5 to assist in seeking this contract.  Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.  If applicable, list below names and type (attorneys, lobbyists, or consultants) retained to assist in seeking this contract:
Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.  If applicable, list below names and type (attorneys, lobbyists, or consultants) retained to assist in seeking this contract:  * 7) Disclosure of political contributions.  List any campaign or officeholder contributions made by the following individuals in the past 24 months to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:  a. any individual seeking contract with the city (Question 3)  b. any owner or officer of entity seeking contract with the city (Question 3)  c. any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)  d. any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)  e. the spouse of any individual listed in response to (a) through (d) above
Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.  If applicable, list below names and type (attorneys, lobbyists, or consultants) retained to assist in seeking this contract:  * 7) Disclosure of political contributions.  List any campaign or officeholder contributions made by the following individuals in the past 24 months to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:  a. any individual seeking contract with the city (Question 3)  b. any owner or officer of entity seeking contract with the city (Question 3)  c. any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)  d. any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)  e. the spouse of any individual listed in response to (a) through (d) above  f. any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

## **Updates on Contributions Required**

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

## Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under Section 2-309 of the Municipal Campaign Finance Code, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a. Any individual seeking a high-profile contract;
- b. Any owner, officer, officer of board, and executive committee member of an entity seeking a high-profile contract, excluding board officers and executive committee members of 501(c)(3), 501(c)(4) and 501(c)(6) non-profit organizations not created or controlled by the City whose board service is done strictly as a volunteer with no financial compensation and no economic gain from the non-profit entity;
- c. The legal signatory of the high-profile contract;
- d. Any attorney, lobbyist or consultant hired or retained to assist the individual or entity in seeking a high-profile contract;
- e. Subcontractors hired or retained to provide services under the high-profile contract; and
- f. Any first-degree member of the household of any person listed in (1), (2), (3) or (5) of this subsection.

<u>Penalty.</u> A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

## \* 8) Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.

If applicable, provide the conflict(s) of interest below:	

## \* 9) Prohibited Interest in Contracts.

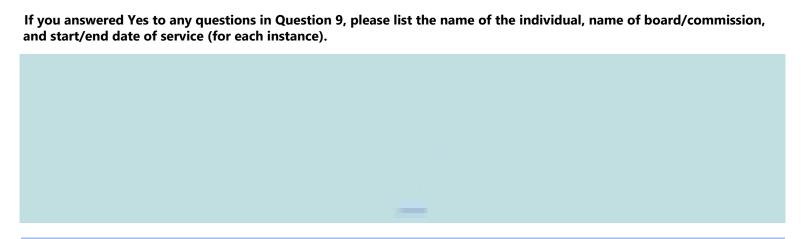
Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

☐Yes ☑No

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

☐ Yes ☐ No

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?



## **Notice Regarding Prohibited Interest in Contracts.**

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see Section 2-58 of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940

## **Acknowledgments**

### \*1. Updates Required.



I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

### \*2. No Contract with City Officials or Staff during Contract Evaluation



I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in Section 2-61 of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

	This	is not a high-profile contract.					
	If thi	is is a high-profile contract please com	plete the following questions:				
		that the following individuals are processed in City Council, candidates for City Coulomb elections from the 10th business contract has been awarded: legal states.	as been designated as a high-profile contract by the city. I further acknowledge rohibited from making campaign or officeholder contributions to members of buncil, or political action committees that make contributions to City Council day after the solicitation has been released until 30 calendar days after the ignatory to contract individual(s) seeking the contract, owner or officer of an see of any of these individuals, and any attorney, lobbyist, or consultant retained				
	X	I warrant that no contributions have of the Municipal Campaign Finance (	been made by these individuals in violation of Section 2-309 Code.				
* <u>4.</u> C	onflic	cts of Interest Questionnaire (CIQ)					
			quires all contractors and vendors to submit a Conflict of Interest Questionnaire f contract is not designated as "High Profile".				
X	I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.						
			* Oath				
X		ear or affirm that the statements conta knowledge and belief are true, correct,	ined in this Contracts Disclosure Form, including any attachments, to the best o and complete.				
*Prin	t Nar	me: <u>Geoffrey Edwards, AIA</u>	*Signature:				
Title		CEO	Date: 08/03/2022				
*Con	npany	y Name or DBA:Alta Architects					

This form can be completed online at www.sanantonio.gov/ethics. If form cannot be completed online, please print, complete and submit with proposal. All questions must be answered.

If submitting via regular mail, send to:

Purchasing Department P.O. Box 839966 San Antonio, Texas 78283-3966

\*3. Contribution Prohitibitions for "High-Profile" Contracts

7/25/22, 11:58 AM

Contracts Disclosure Form



# **CITY OF SAN ANTONIO**

## **Contracts Disclosure Form**

Please print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see Section 2-59 through 2-61 of the City's Ethics Code.

*This is a:	New Submission ○ Correction	n O Update to previous submission					
*1) Name of pers							
* First	M.I.	* Last	Suffix				
Heath	J	Wenrich	RA				
*2) Contract Info	*2) Contract Information						
a) Contract or Proje	ct Name:						
Architectural Services for the 2022-2027 General Obligation Bond Program (RFQ #PW060822DH)							
b) Originating Depa	rtment:						
Public Works			•				
*3) Disclosure of	f narties owners and closel	v related nersons					

- \*3) Disclosure of parties, owners, and closely related persons.
- a) Name of individual(s) or entity(ies) seeking a contract with the city. (NOTE: Give exact legal name as it will appear on the contract, if awarded.)
- b) Name and title of contract signatory
- c) Name of all owners, board members, executive committee members, and officers of entities listed in question 3a.

Туре	Name
Owner	Judith K. Zimmerman
Owner	Heath J. Wenrich
Owner	Jason Puchot
Owner	Kimberley M. Wolf
Owner	T. Hayden Phillips

https://webapp1.sanantonio.gov/ContractsDisclosure/

7/25	/22, 11:58 AM	Contracts Disclosure Form
	Owner	Elizabeth Hurd
	Owner	Charles Dayton
	Owner	Christina Davis
	Owner	Tony Luetkenhaus

# \*4) List any individual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entity listed in Question 3.

- ✓ Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities.
- ☐ Names of partner, parent, joint venture or subsidiary entities, and all the owners, board members, executive committee members, and officers of each entity:

## \*5) List any individuals or entities that will be subcontractors on this contract.

- Not applicable. No subcontractors will be retained for this contract.
- ☐ Subcontractors may be retained, but have not been selected at the time of this submission.
- ☑ List of subcontractors, including the name of the owner(s), and business name:

Owner	Business Name
Shawn J. Franke, Lori Franke	Lundy & Franke Engineering, Inc.
Raymond Tarin, Jr. and Rolando Ramirez	Moy Tarin Ramirez Engineers, LLC
Travis E. Wiltshire	CNG Engineering, PLLC
Carrie E. Combs & Brian Combs	Combs Consulting Group, LP
Derwood Anderson	AG CM, Inc.

Dr. Robert C. Andrews, Jr.	Protection Development, Inc.
Kiwa Limited Group	Raba Kistner, Inc.

# \*6) List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.

- Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.
- List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:

### \*7) Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months to any current member of City Council, former member of City Council, or to any political action committee that contributes to City Council elections:

https://webapp1.sanantonio.gov/ContractsDisclosure/

7/25/22, 11:58 AM Contracts Disclosure Form

- a. any individual seeking contract with the city (Question 3)
- b. any owner or officer of entity seeking contract with the city (Question 3)
- c. any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d. any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e. the spouse of any individual listed in response to (a) through (d) above
- f. any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

<b>✓</b>	Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.
	List of contributions:

### **Updates on Contributions Required**

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

#### Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under Section 2-309 of the Municipal Campaign Finance Code, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a. Any individual seeking a high-profile contract;
- b. Any owner, officer, officer of board, and executive committee member of an entity seeking a high-profile contract, excluding board officers and executive committee members of 501(c)(3), 501(c)(4) and 501(c)(6) non-profit organizations not created or controlled by the City whose board service is done strictly as a volunteer with no financial compensation and no economic gain from the non-profit entity.
- c. The legal signatory of the high-profile contract;
- d. Any attorney, lobbyist or consultant hired or retained to assist the individual or entity in seeking a high-profile contract;
- e. Subcontractors hired or retained to provide services under the high-profile contract; and
- f. Any first-degree member of the household of any person listed in (1), (2), (3) or (5) of this subsection.

<u>Penalty.</u> A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

### \*8) Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

☑ I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of C	ity
Council or a city board/commission.	

L	J	am	aware	of the	following	conflict(s)	of	interest
---	---	----	-------	--------	-----------	-------------	----	----------

### \*9) Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

No

☐ Yes

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

5/22, 11:58	
☐ Yes	3 AM Contracts Disclosure Form
	y, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the tock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or sion?
✓ No ☐ Yes	
Notic	e Regarding Prohited Interest in Contracts.
their clos the City subsidia	be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as see family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parentry or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.
to be pro	members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue oblibited from obtaining any discretionary contracts for one year after leaving City service. Please see Section 2-58 of the City Ethic rohibited Interest in Discretionary Contracts) for complete information.
	note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, d, modified, or changed in any manner during the officer's City service on the more-than-advisory board.
-	ave any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-
8940	
8940	Acknowledgements
	Acknowledgements  ates Required  I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the Cit is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes
*1. <u>Upda</u>	Acknowledgements  ates Required  I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the Cit is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after
*1. <u>Upda</u>	Acknowledgements  ates Required  I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the Cit is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever come first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.  Contact with City Officials or Staff during Contract Evalution
*1. <u>Upda</u>	Acknowledgements  ates Required  I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.  Contact with City Officials or Staff during Contract Evalution  I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP),

I acknowledge that this contract has been designated as a high-profile contract by the city. I further acknowledge that the

following individuals are prohibited from making campaign or officeholder contributions to members of City Council, candidates for City Council, or political action committees that make contributions to City Council elections from the 10th business day after the solicitation has been released until 30 calendar days after the contract has been awarded: legal signatory to contract

https://webapp1.sanantonio.gov/ContractsDisclosure/

**✓** 

7/25/22, 11:58 AM

#### Contracts Disclosure Form

individual(s) seeking the contract, owner or officer of an entity seeking the contract, the spouse of any of these individuals, and any attorney, lobbyist, or consultant retained to assist in seeking the contract.

I warrant that no contributions have been made by these individuals in violation of Section 2-309 of the Municipal Campaign Finance Code.

#### \*4. Conflicts of Interest Questionnaire (CIQ)

Chapter 176 of the Local Government Code requires all contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

# \*Oath

I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.

* Your Name:	Title:	
Heath J. Wenrich	Principal	
* Company Name or DBA:	Date:	
RVK Architecture	7/25/2022	

Please print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:
Purchasing
P.O. Box 839966
San Antonio, Texas 78283-3966

GR.1075-01.PUR.REPORT.Contracts Disclosure Form Rev. 09/07/2021



# **CITY OF SAN ANTONIO**

## **Contracts Disclosure Form**

			npleted form and s artment. All question	ubmit with ns must be answered.		
	For details on use of	this form, see So	ection 2-59 through	2-61 of the City's Eth	nics Code.	
*This is a:	New Submission	O Correction	O Update to pre	vious submission		
*1) Name of pers	son submitting thi	s disclosur	e form.			
* First		M.I.	* Last			Suffix
SHAWN		J	FRANK	E		
*2) Contract Info	ormation					
a) Contract or Proje	ect Name:					
PW060822DH						
b) Originating Depa	artment:					
Public Works						~
40) 51	f parties, owners,					
a) Name of individu appear on the cont	nal(s) or entity(ies) so ract, if awarded.)	eeking a cont	tract with the ci	ty. (NOTE: Give ex	kact legal nar	ne as it will
Name						
SHAWN J. FRANK	Œ					
b) Name and title of	f contract signatory					
Name		Title				
LUNDY & FRANKE INC.	E ENGINEERING,	PRINCIPAL	L ENGINEER			
c) Name of all owne 3a.	ers, board members,	executive co	ommittee memb	ers, and officers o	of entities lis	ted in question
Туре		Name				

Owner	SHAWN J. FRANKE
Owner	LORI FRANKE
*4) List any individual(s) or entity(i entity(ies) of the individual or entit	ies) that is a partner, parent, joint venture, or subsidiary by listed in Question 3.
	s not have partner, parent, joint venture, or subsidiary entities. subsidiary entities, and all the owners, board members, executive committee
*5) List any individuals or entities	that will be subcontractors on this contract.
✓ Not applicable. No subcontractors will be  Subcontractors may be retained, but have  List of subcontractors, including the name	not been selected at the time of this submission.
*6) List any attorneys, lobbyists, o or 5 to assist in seeking this contra	r consultants retained by any individuals listed in Questions 3, 4, act.
	r consultants have been retained to assist in seeking this contract. s retained to assist in seeking this contract:
*7) Disclosure of political contribu	tions.
Council, former member of City Council, any can elections:  a. any individual seeking contract with the cit b. any owner or officer of entity seeking contract c. any individual or owner or officer of an entity	ract with the city (Question 3) ity listed above as a partner, parent, or subsidiary business (Question 4) contracting entity retained for the contract (Question 5) conse to (a) through (d) above
✓ Not applicable. No campaign or officehold  List of contributions:	ler contributions have been made in preceding 24 months by these individuals.

## **Updates on Contributions Required**

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

## Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under Section 2-309 of the Municipal Campaign Finance Code, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a. Any individual seeking a high-profile contract;
- b. Any owner, officer, officer of board, and executive committee member of an entity seeking a high-profile contract, excluding board officers and executive committee members of 501(c)(3), 501(c)(4) and 501(c)(6) non-profit organizations not created or controlled by

the City whose board service is done strictly as a volunteer with no financial compensation and no economic gain from the non-profit entity;

- c. The legal signatory of the high-profile contract;
- d. Any attorney, lobbyist or consultant hired or retained to assist the individual or entity in seeking a high-profile contract;
- e. Subcontractors hired or retained to provide services under the high-profile contract; and
- f. Any first-degree member of the household of any person listed in (1), (2), (3) or (5) of this subsection.

<u>Penalty.</u> A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

### \*8) Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

☑ I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.  ☐ I am aware of the following conflict(s) of interest:
*9) Prohibited Interest in Contracts.
Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?
✓ No  ☐ Yes
Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?
✓ No  ☐ Yes
Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?
✓ No  ☐ Yes

### Notice Regarding Prohited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see Section 2-58 of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940

## **Acknowledgements**

#### \*1. Updates Required

I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

#### \*2. No Contact with City Officials or Staff during Contract Evalution

I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in Section 2-61 of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

#### \*3. Contribution Prohitibitions for "High-Profile" Contracts

- O This is not a high-profile contract.
- This is a high-profile contract.
- I acknowledge that this contract has been designated as a high-profile contract by the city. I further acknowledge that the following individuals are prohibited from making campaign or officeholder contributions to members of City Council, candidates for City Council, or political action committees that make contributions to City Council elections from the 10th business day after the solicitation has been released until 30 calendar days after the contract has been awarded: legal signatory to contract individual(s) seeking the contract, owner or officer of an entity seeking the contract, the spouse of any of these individuals, and any attorney, lobbyist, or consultant retained to assist in seeking the contract.
- I warrant that no contributions have been made by these individuals in violation of Section 2-309 of the Municipal Campaign Finance Code.

#### \*4. Conflicts of Interest Questionnaire (CIQ)

Chapter 176 of the Local Government Code requires all contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

# \*Oath

I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.

* Your Name:	Title:
SHAWN J. FRANKE	PRINCIPAL ENGINEER
* Company Name or DBA:	Date:
LUNDY & FRANKE ENGINEERING, INC.	7/20/2022

Please print completed form and submit with

proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing

P.O. Box 839966

San Antonio, Texas 78283-3966

GR.1075-01.PUR.REPORT.Contracts Disclosure Form Rev. 09/07/2021



# **CITY OF SAN ANTONIO**

## **Contracts Disclosure Form**

Please print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see Section 2-59 through 2-61 of the City's Ethics Code.

*This is a:	New Submission	Correction	Update to previous submiss	ion
*1) Name of pers	son submitting th	nis disclosure f	orm.	
* First		M.I.	* Last	Suffix
Jim			Bailey	
*2) Contract Info	ormation			
a) Contract or Proje	ect Name:			
Architectural Services	s for the 2022-2027 Ger	neral Obligation Bond	d Program (RFQ #PW060822D	H)
b) Originating Depa	rtment:			
Public Works				
*3) Disclosure o	f parties, owners	, and closely re	elated persons.	
a) Name of individu appear on the conti	al(s) or entity(ies) s			ve exact legal name as it will
	al(s) or entity(ies) s			ve exact legal name as it will
a) Name of individu appear on the conti	al(s) or entity(ies) s ract, if awarded.)			ve exact legal name as it will
a) Name of individu appear on the contr Name	al(s) or entity(ies) s ract, if awarded.)	seeking a contra		ve exact legal name as it will
a) Name of individu appear on the contr Name Alamo Architects,	al(s) or entity(ies) s ract, if awarded.)	seeking a contra		ve exact legal name as it will
a) Name of individu appear on the control Name  Alamo Architects, b) Name and title of	al(s) or entity(ies) s ract, if awarded.)	seeking a contrac	ct with the city. (NOTE: Gi	ve exact legal name as it will
a) Name of individu appear on the control Name Alamo Architects, b) Name and title of Name Jim Bailey	al(s) or entity(ies) s ract, if awarded.) , Inc. f contract signatory	Title Senior Princi	ct with the city. (NOTE: Gi	ve exact legal name as it will
a) Name of individu appear on the contr  Name  Alamo Architects, b) Name and title of  Name  Jim Bailey c) Name of all owne	al(s) or entity(ies) s ract, if awarded.) , Inc. f contract signatory	Title Senior Princi	ct with the city. (NOTE: Gi	

Owner	Billy Lawrence
Owner	Mike McGlone
Owner	Jerry Lammers
Owner	Ariel Chavela
Owner	Jim Bailey
Officer of Entity	Michael L. Lanford
Officer of Entity Owner	Michael L. Lanford Nicole Marrone
·	
Owner	Nicole Marrone

# \*4) List any individual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entity listed in Question 3.

✓ Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities.

Names of partner, parent, joint venture or subsidiary entities, and all the owners, board members, executive committee members, and officers of each entity:

## \*5) List any individuals or entities that will be subcontractors on this contract.

- Not applicable. No subcontractors will be retained for this contract.
- Subcontractors may be retained, but have not been selected at the time of this submission.
- ✓ List of subcontractors, including the name of the owner(s), and business name:

Owner	Business Name
Travis E. Wiltshire, PE	CNG Engineering, PLLC
Aan Garrett-Coleman	Coleman and Associates
Carrie E. Combs, Brian K. Combs	COMBS Consulting Group, LP
Gilead Ziemba, Temple Kennedy	Fire Protection Consulting Group, LLC
Joseph H. Huizar, Justice W. Edge	Intelligent Engineering Services, LLP
Murali Karivaryeedu, Chris Story, Stephen H. Lucy	JQ Infrastructure, LLC
Kiwa Limited Group	Raba Kistner, Inc.

\*6) List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.

<b>✓</b>	Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract
	List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:

## \*7) Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a. any individual seeking contract with the city (Question 3)
- b. any owner or officer of entity seeking contract with the city (Question 3)
- c. any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d. any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e. the spouse of any individual listed in response to (a) through (d) above
- f. any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)
- Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.
- List of contributions:

Name of Contributor	To Whom	Date of Contribution	Total Amount of Contribution
Irby Hightower	Mario Bravo	06/22/2022	\$100.00
Jim Bailey	Ana Sandoval	12/16/2020	\$100.00
Jim Bailey	Mario Bravo	12/30/2020	\$100.00
Jim Bailey	Mario Bravo	12/4/2020	\$100.00
Jim Bailey	Ezra Johnson	12/31/2020	\$100.00
Jim Bailey	Marcello Martinez	2/2/2021	\$50.00
Jim Bailey	Mario Bravo	4/16/2021	\$100.00
Jim Bailey	Mario Bravo	6/21/2022	\$100.00

## **Updates on Contributions Required**

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

## Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under Section 2-309 of the Municipal Campaign Finance Code, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a. Any individual seeking a high-profile contract;
- b. Any owner, officer of board, and executive committee member of an entity seeking a high-profile contract, excluding board officers and executive committee members of 501(c)(3), 501(c)(4) and 501(c)(6) non-profit organizations not created or controlled by the City whose board service is done strictly as a volunteer with no financial compensation and no economic gain from the non-profit entity:
- c. The legal signatory of the high-profile contract;
- d. Any attorney, lobbyist or consultant hired or retained to assist the individual or entity in seeking a high-profile contract;

- e. Subcontractors hired or retained to provide services under the high-profile contract; and
- f. Any first-degree member of the household of any person listed in (1), (2), (3) or (5) of this subsection.

Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

*8) Disc	losure d	f conflict	of interest.
----------	----------	------------	--------------

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials? I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission. ☐ I am aware of the following conflict(s) of interest: \*9) Prohibited Interest in Contracts. Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree

✓ No

Yes

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

✓ No

Yes

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

✓ No

☐ Yes

## **Notice Regarding Prohited Interest in Contracts.**

of consanguinity or affinity served on a City board or commission?

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see Section 2-58 of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940

#### \*1. Updates Required

I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

#### \*2. No Contact with City Officials or Staff during Contract Evalution

I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in Section 2-61 of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

### \*3. Contribution Prohitibitions for "High-Profile" Contracts

$\bigcirc$	This	is	not	а	hia	h-pi	rofile	contr	act
$\smile$	11113	13	1101	а	HIIG	וארוו	OHILE	COILL	au

- This is a high-profile contract.
- I acknowledge that this contract has been designated as a high-profile contract by the city. I further acknowledge that the following individuals are prohibited from making campaign or officeholder contributions to members of City Council, candidates for City Council, or political action committees that make contributions to City Council elections from the 10th business day after the solicitation has been released until 30 calendar days after the contract has been awarded: legal signatory to contract individual(s) seeking the contract, owner or officer of an entity seeking the contract, the spouse of any of these individuals, and any attorney, lobbyist, or consultant retained to assist in seeking the contract.
- I warrant that no contributions have been made by these individuals in violation of Section 2-309 of the Municipal Campaign Finance Code.

#### \*4. Conflicts of Interest Questionnaire (CIQ)

Chapter 176 of the Local Government Code requires all contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

✓ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

# \*Oath

I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.

* Your Name:	Title:	
Jim Bailey	Senior Principal	
* Company Name or DBA:		Date:
Alamo Architects		7/31/2022

## P.O. Box 839966 San Antonio, Texas 78283-3966

GR.1075-01.PUR.REPORT.Contracts Disclosure Form

Rev. 09/07/2021



# **CITY OF SAN ANTONIO**

# **Contracts Disclosure Form**

Please print completed form and submit with proposal to originating department. All questions must be answered.

	For details on use of					
*This is a:	New Submission	○ Correction	O Update to pro	evious submission		
*1) Name of pers	son submitting th	is disclosure	form.			
First		M.I.	* Last			Suffix
Sara			Flowers	s		
*2) Contract Info	rmation					
) Contract or Proje	ct Name:					
RFQ #PW060822DH	- ARCHITECTURAL SE	RVICES FOR TH	IE 2022-2027 GE	ENERAL OBLIGATION	BOND PRO	GRAM
) Originating Depa	rtment:					
Public Works						•
·	f parties, owners,					74 - 111
) Name of individu	al(s) or entity(ies) s				kact legal n	name as it will
	al(s) or entity(ies) s				kact legal n	name as it will
Name of individual ppear on the control Name LPA, Inc.	al(s) or entity(ies) s	eeking a contr			kact legal n	name as it will
Name of individual ppear on the control Name LPA, Inc. Name and title of	al(s) or entity(ies) s ract, if awarded.)	eeking a contr			kact legal n	name as it will
) Name of individu ppear on the contr Name LPA, Inc. ) Name and title of	al(s) or entity(ies) s ract, if awarded.)	eeking a contr		ity. (NOTE: Give ex	xact legal n	name as it will
) Name of individual ppear on the control Name  LPA, Inc.  ) Name and title of Name  Sara Flowers  ) Name of all owne	al(s) or entity(ies) s ract, if awarded.)	eeking a control  Title  Principal in	Charge, Stud	ity. (NOTE: Give ex		
Name of individual ppear on the control Name LPA, Inc. Name and title of Name Sara Flowers	al(s) or entity(ies) s ract, if awarded.)	eeking a control  Title  Principal in	Charge, Stud	ity. (NOTE: Give ex		

Board Member	Erik Ring
Board Member	James Kelly
Board Member	Jon Mills
Board Member	Kate Mraw
Board Member	Keith Hempel
Board Member	Patrick McClintock
Board Member	Wendy Rogers

# \*4) List any individual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entity listed in Question 3.

☑ Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities.

Names of partner, parent, joint venture or subsidiary entities, and all the owners, board members, executive committee members, and officers of each entity:

## \*5) List any individuals or entities that will be subcontractors on this contract.

- Not applicable. No subcontractors will be retained for this contract.
- ☐ Subcontractors may be retained, but have not been selected at the time of this submission.
- ✓ List of subcontractors, including the name of the owner(s), and business name:

Owner	Business Name
Daniel Tak	Alpha Consulting Engineers, Inc.
Thomas Campbell, PE	Alpha Consulting Engineers, Inc.
Amol Kulkarni, PE	Alpha Consulting Engineers, Inc.
Thomas Hamer, PE	Alpha Consulting Engineers, Inc.
Derwood Anderson	AG CM
Marty Schmitt	AG CM
Trey McCampbell	AG CM
Joe Ryan	AG CM
Chris Majors	AG CM
Ryan Rosborough	AG CM
Lorenzo Martinez	AG CM
David Funk	AG CM
Raymond Tarin Jr., PE	Moy Tarin Ramirez Engineers, LLC
Rolando Ramirez, PE	Moy Tarin Ramirez Engineers, LLC

William Harris, PE	H2MG
Mehdi J. Mirmomeni, PE	H2MG
Ernesto Lopez del Castillo Jr., PE	H2MG
George Bocanegra	H2MG
Russ Johnson	True North Consulting Group, LLC
Tony Chojnowski	True North Consulting Group, LLC
Jon Martin	True North Consulting Group, LLC
Shane Jacobus	True North Consulting Group, LLC

\*6) List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.

List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:

## \*7) Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a. any individual seeking contract with the city (Question 3)
- b. any owner or officer of entity seeking contract with the city (Question 3)
- c. any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d. any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e. the spouse of any individual listed in response to (a) through (d) above
- f. any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

<b>✓</b>	Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals
	List of contributions:

**Updates on Contributions Required** 

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

### **Notice Regarding Contribution Prohibitions for "High-Profile" Contracts**

Under Section 2-309 of the Municipal Campaign Finance Code, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a. Any individual seeking a high-profile contract;
- b. Any owner, officer of board, and executive committee member of an entity seeking a high-profile contract, excluding board officers and executive committee members of 501(c)(3), 501(c)(4) and 501(c)(6) non-profit organizations not created or controlled by the City whose board service is done strictly as a volunteer with no financial compensation and no economic gain from the non-profit entity:
- c. The legal signatory of the high-profile contract;
- d. Any attorney, lobbyist or consultant hired or retained to assist the individual or entity in seeking a high-profile contract;

No ☐ Yes

- e. Subcontractors hired or retained to provide services under the high-profile contract; and
- f. Any first-degree member of the household of any person listed in (1), (2), (3) or (5) of this subsection.

Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

## \*8) Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the City

Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials? I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission. I am aware of the following conflict(s) of interest: \*9) Prohibited Interest in Contracts. Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission? ✓ No Yes Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission? No ☐ Yes Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

## Notice Regarding Prohited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see Section 2-58 of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940

## **Acknowledgements**

#### \*1. Updates Required

I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

#### \*2. No Contact with City Officials or Staff during Contract Evalution

I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in Section 2-61 of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

### \*3. Contribution Prohitibitions for "High-Profile" Contracts

$\bigcirc$ This is not a high-profile contrac	ct
---	----

- This is a high-profile contract.
- I acknowledge that this contract has been designated as a high-profile contract by the city. I further acknowledge that the following individuals are prohibited from making campaign or officeholder contributions to members of City Council, candidates for City Council, or political action committees that make contributions to City Council elections from the 10th business day after the solicitation has been released until 30 calendar days after the contract has been awarded: legal signatory to contract individual(s) seeking the contract, owner or officer of an entity seeking the contract, the spouse of any of these individuals, and any attorney, lobbyist, or consultant retained to assist in seeking the contract.
- I warrant that no contributions have been made by these individuals in violation of Section 2-309 of the Municipal Campaign Finance Code.

#### \*4. Conflicts of Interest Questionnaire (CIQ)

Chapter 176 of the Local Government Code requires all contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

✓ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

# \*Oath

I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.

Your Name:	Title:
Sara Flowers	Principal in Charge, Studio Director
* Company Name or DBA:	Date:
LPA, Inc.	8/2/2022

Please print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing

P.O. Box 839966 San Antonio, Texas 78283-3966

 ${\sf GR.1075\text{-}01.PUR.REPORT.Contracts\ Disclosure\ Form}$ 

Rev. 09/07/2021



# **CITY OF SAN ANTONIO**

## **Contracts Disclosure Form**

Please print completed form and submit with proposal to originating department. All questions must be answered. For details on use of this form, see Section 2-59 through 2-61 of the City's Ethics Code. \*This is a: ● New Submission ○ Correction ○ Update to previous submission \*1) Name of person submitting this disclosure form. \* First M.I. \* Last Suffix Richard G. Morales \*2) Contract Information a) Contract or Project Name: General Obligation Bond 2022-2027 b) Originating Department: Public Works \*3) Disclosure of parties, owners, and closely related persons. a) Name of individual(s) or entity(ies) seeking a contract with the city. (NOTE: Give exact legal name as it will appear on the contract, if awarded.) Name Chesney Morales Partners, Inc. b) Name and title of contract signatory Name **Title** Richard G. Morales President c) Name of all owners, board members, executive committee members, and officers of entities listed in question 3a.

Name

Richard G. Morales

**Type** 

Owner

### **Updates on Contributions Required**

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

#### Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under Section 2-309 of the Municipal Campaign Finance Code, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a. Any individual seeking a high-profile contract;
- b. Any owner, officer of board, and executive committee member of an entity seeking a high-profile contract, excluding board officers and executive committee members of 501(c)(3), 501(c)(4) and 501(c)(6) non-profit organizations not created or controlled by the City whose board service is done strictly as a volunteer with no financial compensation and no economic gain from the non-profit entity;
- c. The legal signatory of the high-profile contract;
- d. Any attorney, lobbyist or consultant hired or retained to assist the individual or entity in seeking a high-profile contract;
- e. Subcontractors hired or retained to provide services under the high-profile contract; and
- f. Any first-degree member of the household of any person listed in (1), (2), (3) or (5) of this subsection.

<u>Penalty.</u> A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

## \*8) Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

thics dode for any only double member of board/commission member that has not or will not be raised by those only officials:
I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City ouncil or a city board/commission.
I am aware of the following conflict(s) of interest:
*9) Prohibited Interest in Contracts.
urrently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degre f consanguinity or affinity served on a City board or commission?
No Yes
urrently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, paren nild own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?
No Yes
urrently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the oting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or ommission?

#### **Notice Regarding Prohited Interest in Contracts.**

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see Section 2-58 of the City Ethics

✓ No

✓ Yes

Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940

# **Acknowledgements**

#### \*1. Updates Required

I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

#### \*2. No Contact with City Officials or Staff during Contract Evalution

I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in Section 2-61 of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

#### \*3. Contribution Prohitibitions for "High-Profile" Contracts

$\cup$	This	is	not	а	hig	h-pr	ofile	con	tract	
--------	------	----	-----	---	-----	------	-------	-----	-------	--

- This is a high-profile contract.
- I acknowledge that this contract has been designated as a high-profile contract by the city. I further acknowledge that the following individuals are prohibited from making campaign or officeholder contributions to members of City Council, candidates for City Council, or political action committees that make contributions to City Council elections from the 10th business day after the solicitation has been released until 30 calendar days after the contract has been awarded: legal signatory to contract individual(s) seeking the contract, owner or officer of an entity seeking the contract, the spouse of any of these individuals, and any attorney, lobbyist, or consultant retained to assist in seeking the contract.
- I warrant that no contributions have been made by these individuals in violation of Section 2-309 of the Municipal Campaign Finance Code.

#### \*4. Conflicts of Interest Questionnaire (CIQ)

Chapter 176 of the Local Government Code requires all contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

✓ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

# \*Oath

I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.

\* Your Name: Title:

8/2/22, 1:32 PM Contracts Disclosure Form

Richard G. Morales	President	
* Company Name or DBA:		Date:
Chesney Morales Partners, Inc.		8/2/2022

Please print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing

P.O. Box 839966

San Antonio, Texas 78283-3966

GR.1075-01.PUR.REPORT.Contracts Disclosure Form Rev. 09/07/2021



Owner

# CITY OF SAN ANTONIO

## **Contracts Disclosure Form**

Please print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see Section 2-59 through 2-61 of the City's Ethics Code. \*This is a: New Submission Correction Update to previous submission \*1) Name of person submitting this disclosure form. Suffix \* First M.I. \* Last Debra Dockery J. \*2) Contract Information a) Contract or Project Name: Architectural Services for the 2022-2027 General Obligation Bond Program RFQ #PW060822DH b) Originating Department: Public Works \*3) Disclosure of parties, owners, and closely related persons. a) Name of individual(s) or entity(ies) seeking a contract with the city. (NOTE: Give exact legal name as it will appear on the contract, if awarded.) Name Debra J. Dockery, Architect, P.C. b) Name and title of contract signatory Title Name Debra J. Dockery President c) Name of all owners, board members, executive committee members, and officers of entities listed in question 3a. Name Type

Debra J. Dockery

# \*4) List any individual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entity listed in Question 3.

Mot applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities.

Names of partner, parent, joint venture or subsidiary entities, and all the owners, board members, executive committee members, and officers of each entity:

## \*5) List any individuals or entities that will be subcontractors on this contract.

Not applicable. No subcontractors will be retained for this contract.

Subcontractors may be retained, but have not been selected at the time of this submission.

List of subcontractors, including the name of the owner(s), and business name:

Owner	Business Name
John E. Rothe, Russell E. Morkovsky, Jarod M. Cain, Derek C. Snoga	Civil Design Services, Inc, dba CDS Muery
Linda Alderson, Dean T. Alderson	Alderson & Associates, Inc
Carrie E. Combs, Brian K. Combs	Combs Consulting Group, LP
Leticia Zavala, Albert Fernandez, Jr.	CFZ Group, LLC
Alonzo Alston	Sol Studio Architects, LLC
Terracon is a national company 100% employee-owned	Terracon Consultants, Inc.
Daniel Tak, Thomas Campbell, Amol Kulkarni, Thomas Hamer	Alpha Consulting Engineers, Inc.

# \*6) List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.

Mot applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.

List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:

#### \*7) Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months to any current member of City Council, former member of City Council, or to any political action committee that contributes to City Council elections:

- a. any individual seeking contract with the city (Question 3)
- b. any owner or officer of entity seeking contract with the city (Question 3)
- c. any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d. any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e. the spouse of any individual listed in response to (a) through (d) above
- f. any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

<ul> <li>■ Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.</li> <li>✓ List of contributions:</li> </ul>						
Name of Contributor	To Whom	Date of Contribution	Total Amount of Contribution			
Albert Fernandez, Roberto Trevino 03/23/2021 \$75.00						

### **Updates on Contributions Required**

Jr.

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

## Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under Section 2-309 of the Municipal Campaign Finance Code, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a. Any individual seeking a high-profile contract;
- b. Any owner, officer of board, and executive committee member of an entity seeking a high-profile contract, excluding board officers and executive committee members of 501(c)(3), 501(c)(4) and 501(c)(6) non-profit organizations not created or controlled by the City whose board service is done strictly as a volunteer with no financial compensation and no economic gain from the non-profit entity:
- c. The legal signatory of the high-profile contract;
- d. Any attorney, lobbyist or consultant hired or retained to assist the individual or entity in seeking a high-profile contract;
- e. Subcontractors hired or retained to provide services under the high-profile contract; and
- f. Any first-degree member of the household of any person listed in (1), (2), (3) or (5) of this subsection.

<u>Penalty.</u> A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

### \*8) Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

☑ I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.

I am aware of the following conflict(s) of interest:

## \*9) Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

✓ No

Vas

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

✓ No
Yes
Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?
✓ No
Yes

### Notice Regarding Prohited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see Section 2-58 of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940

## **Acknowledgements**

#### \*1. Updates Required

I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

#### \*2. No Contact with City Officials or Staff during Contract Evalution

I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in Section 2-61 of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

#### \*3. Contribution Prohitibitions for "High-Profile" Contracts

- This is not a high-profile contract.
- This is a high-profile contract.
- I acknowledge that this contract has been designated as a high-profile contract by the city. I further acknowledge that the following individuals are prohibited from making campaign or officeholder contributions to members of City Council, candidates for City Council, or political action committees that make contributions to City Council elections from the 10th business day after the solicitation has been released until 30 calendar days after the contract has been awarded: legal signatory to contract

individual(s) seeking the contract, owner or officer of an entity seeking the contract, the spouse of any of these individuals, and any attorney, lobbyist, or consultant retained to assist in seeking the contract.

I warrant that no contributions have been made by these individuals in violation of Section 2-309 of the Municipal Campaign Finance Code.

### \*4. Conflicts of Interest Questionnaire (CIQ)

Chapter 176 of the Local Government Code requires all contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

		*Oath					
•		I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.					
* Your	Name:	Title:					
Debr	a J. Dockery	President					
* Com	pany Name or DBA:		Date:				
Debra J. Dockery, Architect, P.C.			7/25/2022				

Please print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing

P.O. Box 839966

San Antonio, Texas 78283-3966

GR.1075-01.PUR.REPORT.Contracts Disclosure Form Rev. 09/07/2021



# **CITY OF SAN ANTONIO**

# **Contracts Disclosure Form**

Please print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see Section 2-59 through 2-61 of the City's Ethics Code.

*This is a:	New Submission	n O Correction	O Update to previous submission			
*1) Name of person submitting this disclosure form.						
* First		M.I.	* Last	Suffix		
Katherine			Kimm			
*2) Contract Info	rmation					
a) Contract or Proje	ct Name:					
Architectural Services	for the 2022-2027 Ger	neral Bond Obligati	on Bond Program			
b) Originating Depa	rtment:					
Public Works				~		
*3) Disclosure of parties, owners, and closely related persons.  a) Name of individual(s) or entity(ies) seeking a contract with the city. (NOTE: Give exact legal name as it will appear on the contract, if awarded.)						
a) Name of individua	al(s) or entity(ies) s			exact legal name as it will		
a) Name of individua appear on the contr Name	al(s) or entity(ies) s act, if awarded.)			exact legal name as it will		
a) Name of individua appear on the contr	al(s) or entity(ies) s act, if awarded.)			exact legal name as it will		
a) Name of individua appear on the contr Name	al(s) or entity(ies) s act, if awarded.) Group, LLC	seeking a contra		exact legal name as it will		
a) Name of individua appear on the contr Name WestEast Design	al(s) or entity(ies) s act, if awarded.) Group, LLC	seeking a contra		exact legal name as it will		
a) Name of individual appear on the control  Name  WestEast Design (	al(s) or entity(ies) s act, if awarded.) Group, LLC	seeking a contra	act with the city. (NOTE: Give	exact legal name as it will		
a) Name of individual appear on the control Name  WestEast Design (a) Name and title of Name  Katherine Kimm	al(s) or entity(ies) s act, if awarded.) Group, LLC contract signatory	seeking a contra y Title General Ma	act with the city. (NOTE: Give			
a) Name of individual appear on the control Name  WestEast Design of Name  Katherine Kimm  c) Name of all owne	al(s) or entity(ies) s act, if awarded.) Group, LLC contract signatory	seeking a contra y Title General Ma	nager			

Owner	Katherine Kimm
Officer of Entity	Jose Eduardo de la Garza

# \*4) List any individual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entity listed in Question 3.

☑ Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities.

Names of partner, parent, joint venture or subsidiary entities, and all the owners, board members, executive committee members, and officers of each entity:

## \*5) List any individuals or entities that will be subcontractors on this contract.

Not applicable. No subcontractors will be retained for this contract.

Subcontractors may be retained, but have not been selected at the time of this submission.

List of subcontractors, including the name of the owner(s), and business name:

Owner	Business Name
Rick Guerra	jose I. Guerra, Inc.
Lori Franke	Lundy & Franke Engineering, Inc.
Mark Padilla	MP studio Landscape Architecture, LLC
Eugene H. Dawson, Eugene H. Dawson, Jr., Samuel G. Dawson	Pape Dawson Consulting Engineers, Inc.
Kiwa Limited Group, Chris L Schultz, PE	Raba Kistner, Inc.
Alonzo Alston	Sol Studio Architects, LLC
Jodi Bole	DataCom Design Group, LLC

# \*6) List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.

✓ Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.

List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:

## \*7) Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months to any current member of City Council, former member of City Council, or to any political action committee that contributes to City Council elections:

- a. any individual seeking contract with the city (Question 3)
- b. any owner or officer of entity seeking contract with the city (Question 3)
- c. any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d. any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)

f. any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)
✓ Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.  List of contributions:
Updates on Contributions Required
Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.
Notice Regarding Contribution Prohibitions for "High-Profile" Contracts
Under Section 2-309 of the Municipal Campaign Finance Code, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:  a. Any individual seeking a high-profile contract;  b. Any owner, officer, officer of board, and executive committee member of an entity seeking a high-profile contract, excluding board officers and executive committee members of 501(c)(3), 501(c)(4) and 501(c)(6) non-profit organizations not created or controlled by the City whose board service is done strictly as a volunteer with no financial compensation and no economic gain from the non-profit entity;  c. The legal signatory of the high-profile contract;  d. Any attorney, lobbyist or consultant hired or retained to assist the individual or entity in seeking a high-profile contract;  e. Subcontractors hired or retained to provide services under the high-profile contract; and  f. Any first-degree member of the household of any person listed in (1), (2), (3) or (5) of this subsection.  Penalty, A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these ndividuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.
*8) Disclosure of conflict of interest.
Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?  I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.  I am aware of the following conflict(s) of interest:
*9) Prohibited Interest in Contracts.
Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?  No
Yes  Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?  No
Yes  Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or charge, or 10% or more of the fair market value, that will be a subcentractor for this contract, sorved on a City board or

e. the spouse of any individual listed in response to (a) through (d) above

commission?

<b>✓</b>	No
	Yes

### **Notice Regarding Prohited Interest in Contracts.**

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see Section 2-58 of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940

# **Acknowledgements**

#### \*1. Updates Required

I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

#### \*2. No Contact with City Officials or Staff during Contract Evalution

I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in Section 2-61 of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

#### \*3. Contribution Prohitibitions for "High-Profile" Contracts

$\bigcirc$	This	is	not a	high	-profil	e cc	ntract.
------------	------	----	-------	------	---------	------	---------

This is a high-profile contract.

- I acknowledge that this contract has been designated as a high-profile contract by the city. I further acknowledge that the following individuals are prohibited from making campaign or officeholder contributions to members of City Council, candidates for City Council, or political action committees that make contributions to City Council elections from the 10th business day after the solicitation has been released until 30 calendar days after the contract has been awarded: legal signatory to contract individual(s) seeking the contract, owner or officer of an entity seeking the contract, the spouse of any of these individuals, and any attorney, lobbyist, or consultant retained to assist in seeking the contract.
- I warrant that no contributions have been made by these individuals in violation of Section 2-309 of the Municipal Campaign Finance Code.

*4.	Conflicts	of Interest	Questionnaire	(CIO
<b>-</b>	COMMISSION	OI HILEIGS	Questionnane	1010

WestEast Design Group, LLC

Chapter 176 of the Local Government Code requires all contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

<b>~</b>	I acknowledge that I have been advised of the requirer	nent to file a CIQ form under Chapter 1	76 of the Local Government Code
	*	Oath	
<b>~</b>	I swear or affirm that the statements contained in this on knowledge and belief are true, correct, and complete.	Contracts Disclosure Form, including ar	ny attachments, to the best of my
* Your N	Name:	Title:	
Kather	ine Kimm	General Manager / Owner	
* Comp	any Name or DBA:		Date:

Please print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing

P.O. Box 839966

San Antonio, Texas 78283-3966

8/2/2022

GR.1075-01.PUR.REPORT.Contracts Disclosure Form Rev. 09/07/2021



# **CITY OF SAN ANTONIO**

# **Contracts Disclosure Form**

Please print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see Section 2-59 through 2-61 of the City's Ethics Code.

*This is a:	New Submission	○ Correction ○	Upd	ate to previous s	submission		
*1) Name of pers	on submitting thi	s disclosure fo	rm.				
<sup>•</sup> First		M.I.		* Last			Suffix
John				Mize			
*2) Contract Info	rmation						
a) Contract or Projec	ct Name:						
Architectural Services	for the 2022-2027 Gene	eral Obligation Bond	Prog	ıram (RFQ #PW0	60822DH)		
o) Originating Depai	rtment:						
Public Works							~
*3) Disclosure of	parties, owners,	and closely re	late	d persons.			
a) Name of individua appear on the contra	al(s) or entity(ies) se act, if awarded.)	eeking a contrac	t wit	h the city. (NO	TE: Give exact leg	al nam	ne as it will
Name							
Ford, Powell & Car	rson Architects & F	Planners, Inc.					
o) Name and title of	contract signatory						
Name		Title					
John Mize		C00					
c) Name of all owner 3a.	rs, board members,	executive comm	nitte	e members, ar	nd officers of entiti	es liste	ed in question
Туре		Name					

Owner	Allison Chambers
Owner	Gary Coombs
Owner	Michelle Garcia
Owner	John Gutzler
Owner	Laura Elvia-Hall
Owner	Viola Lopez
Owner	Hector Machado
Owner	John Mize
Owner	Carolyn Peterson
Owner	Adam Reed
Owner	Oscar Reyes
Owner	Rachel Wright
Owner	Anna Nau
Owner	Tobin Hays
Owner	Mark Henderson
Owner	Andy Castillo
Owner	Jeremy Kreusel
Owner	Patsy Callender
Owner	Suzanne Smith
Committee Member	D'Anna Wallace
*4) List any individual(s) or entity(entity(ies) of the individual or entity	(ies) that is a partner, parent, joint venture, or subsidiary ity listed in Question 3.
✓ Not applicable. Contracting party(ies) do	es not have partner, parent, joint venture, or subsidiary entities.

Names of partner, parent, joint venture or subsidiary entities, and all the owners, board members, executive committee members, and officers of each entity:

	^5) L	ist any	individ	uais or e	ntities tha	it will be	subcont	ractors or	n this co	ontract.
--	-------	---------	---------	-----------	-------------	------------	---------	------------	-----------	----------

	Not applicable.	No subcontractors	will be retained	for this contract
--	-----------------	-------------------	------------------	-------------------

☐ Subcontractors may be retained, but have not been selected at the time of this submission.

✓ List of subcontractors, including the name of the owner(s), and business name:

Owner Business Name

Derwood Anderson	AG CM
Travis Wiltshire	CNG Engineering, PLLC
Carrie Combs, Brian Combs	COMBS Consulting Group, LP
Gilead Ziemba, Temple Kennedy	Fire Protection Consulting Group, LLC
Joseph Huizar, Justice Edge	Intelligent Engineering Services, LLP
Kiwa Limited Group (Paul Hesselink, Luc Leroy, Rudy Niemantsverdriet, Ivonne Verlinde)	Raba Kistner, Inc.
Mark Meyer, Drew Mengwasser, Elliott Doerle, Seth Atwell, Elaine Kearney	The Broussard Group, Inc. dba TBG Partners
ESP & Associates, Inc.	Vickrey & Associates, LLC

\*6) List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.

<b>✓</b>
----------

List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:

# \*7) Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a. any individual seeking contract with the city (Question 3)
- b. any owner or officer of entity seeking contract with the city (Question 3)
- c. any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d. any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e. the spouse of any individual listed in response to (a) through (d) above
- f. any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

## Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

#### ✓ List of contributions:

Name of Contributor	To Whom	Date of Contribution	Total Amount of Contribution	
John Mize	Ron Nirenberg	03/01/2021	\$250.00	
John Mize	Roberto Trevino	05/08/2021	\$100.00	
John Mize	John Courage	05/16/2021	\$100.00	

## **Updates on Contributions Required**

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

## Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under Section 2-309 of the Municipal Campaign Finance Code , the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a. Any individual seeking a high-profile contract;
- b. Any owner, officer of board, and executive committee member of an entity seeking a high-profile contract, excluding board officers and executive committee members of 501(c)(3), 501(c)(4) and 501(c)(6) non-profit organizations not created or controlled by the City whose board service is done strictly as a volunteer with no financial compensation and no economic gain from the non-profit
- c. The legal signatory of the high-profile contract;
- d. Any attorney, lobbyist or consultant hired or retained to assist the individual or entity in seeking a high-profile contract;
- e. Subcontractors hired or retained to provide services under the high-profile contract; and
- f. Any first-degree member of the household of any person listed in (1), (2), (3) or (5) of this subsection.

Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the C	ity
Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?	

*8) Disclosure of conflict of interest.
Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the Cit Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?
I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.
☐ I am aware of the following conflict(s) of interest:
*9) Prohibited Interest in Contracts.
Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?
✓ No  Yes
Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?
✓ No  Yes
Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

Nο ☐ Yes Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see Section 2-58 of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940

# **Acknowledgements**

#### \*1. Updates Required

I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

#### \*2. No Contact with City Officials or Staff during Contract Evalution

I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in Section 2-61 of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

#### \*3. Contribution Prohitibitions for "High-Profile" Contracts

- O This is not a high-profile contract.
- This is a high-profile contract.
- I acknowledge that this contract has been designated as a high-profile contract by the city. I further acknowledge that the following individuals are prohibited from making campaign or officeholder contributions to members of City Council, candidates for City Council, or political action committees that make contributions to City Council elections from the 10th business day after the solicitation has been released until 30 calendar days after the contract has been awarded: legal signatory to contract individual(s) seeking the contract, owner or officer of an entity seeking the contract, the spouse of any of these individuals, and any attorney, lobbyist, or consultant retained to assist in seeking the contract.
- I warrant that no contributions have been made by these individuals in violation of Section 2-309 of the Municipal Campaign Finance Code.

#### \*4. Conflicts of Interest Questionnaire (CIQ)

Chapter 176 of the Local Government Code requires all contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

✓ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.



<b>✓</b>	I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my
	knowledge and belief are true, correct, and complete.

* Your Name:	Title:	
John Mize	coo	
* Company Name or DBA:		Date:
Ford, Powell & Carson Architects & Planners, Inc.	c.	8/5/2022

Please print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing

P.O. Box 839966

San Antonio, Texas 78283-3966

GR.1075-01.PUR.REPORT.Contracts Disclosure Form Rev. 09/07/2021



# CITY OF SAN ANTONIO

# **Contracts Disclosure Form**

Please print completed form and submit with proposal to originating department. All questions must be answered. For details on use of this form, see Section 2-59 through 2-61 of the City's Ethics Code. \*This is a: ● New Submission ○ Correction ○ Update to previous submission \*1) Name of person submitting this disclosure form. \* First M.I. **Suffix** \* Last Robyn L Popa \*2) Contract Information a) Contract or Project Name: RFQ #PW060822DH b) Originating Department: Public Works \*3) Disclosure of parties, owners, and closely related persons. a) Name of individual(s) or entity(ies) seeking a contract with the city. (NOTE: Give exact legal name as it will appear on the contract, if awarded.) Name Pfluger Architects, Inc. b) Name and title of contract signatory Name **Title** Managing Principal, San Antonio Robyn Popa, AIA, NCARB c) Name of all owners, board members, executive committee members, and officers of entities listed in question 3a. Name **Type** 

Board Member	Michelle Dudley
Board Member	Terry Hoyle
Board Member	Mark Lam
Board Member	Jessica Molter
Board Member	Christian Owens
Board Member	Derek Pennant-Jones
Board Member	Brad Pfluger
Board Member	Robyn Popa

\*4) List any individual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entity listed in Question 3.

☐ Names of partner, parent, joint venture or subsidiary entities, and all the owners, board members, executive committee members, and officers of each entity:

#### \*5) List any individuals or entities that will be subcontractors on this contract.

- Not applicable. No subcontractors will be retained for this contract.
- Subcontractors may be retained, but have not been selected at the time of this submission.
- List of subcontractors, including the name of the owner(s), and business name:

# \*6) List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.

- Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.
- List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:

#### \*7) Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a. any individual seeking contract with the city (Question 3)
- b. any owner or officer of entity seeking contract with the city (Question 3)
- c. any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d. any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e. the spouse of any individual listed in response to (a) through (d) above
- f. any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)
- Vot applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.
- List of contributions:

#### **Updates on Contributions Required**

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

#### Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under Section 2-309 of the Municipal Campaign Finance Code, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a. Any individual seeking a high-profile contract;
- b. Any owner, officer, officer of board, and executive committee member of an entity seeking a high-profile contract, excluding board officers and executive committee members of 501(c)(3), 501(c)(4) and 501(c)(6) non-profit organizations not created or controlled by the City whose board service is done strictly as a volunteer with no financial compensation and no economic gain from the non-profit entity;
- c. The legal signatory of the high-profile contract;
- d. Any attorney, lobbyist or consultant hired or retained to assist the individual or entity in seeking a high-profile contract;
- e. Subcontractors hired or retained to provide services under the high-profile contract; and
- f. Any first-degree member of the household of any person listed in (1), (2), (3) or (5) of this subsection.

<u>Penalty.</u> A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

#### \*8) Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict	of interest" issue under Sections 2-43 or 2-44 of the
City Ethics Code for any City Council member or board/commission member that h	nas not or will not be raised by these city officials?

✓ I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.
 ☐ I am aware of the following conflict(s) of interest:

## \*9) Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

✓ No

☐ Yes

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

✓ No

☐ Yes

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

✓ No

☐ Yes

#### **Notice Regarding Prohited Interest in Contracts.**

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see Section 2-58 of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940

### **Acknowledgements**

#### \*1. Updates Required

I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

#### \*2. No Contact with City Officials or Staff during Contract Evalution

I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in Section 2-61 of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

#### \*3. Contribution Prohitibitions for "High-Profile" Contracts

- O This is not a high-profile contract.
- This is a high-profile contract.
- I acknowledge that this contract has been designated as a high-profile contract by the city. I further acknowledge that the following individuals are prohibited from making campaign or officeholder contributions to members of City Council, candidates for City Council, or political action committees that make contributions to City Council elections from the 10th business day after the solicitation has been released until 30 calendar days after the contract has been awarded: legal signatory to contract individual(s) seeking the contract, owner or officer of an entity seeking the contract, the spouse of any of these individuals, and any attorney, lobbyist, or consultant retained to assist in seeking the contract.
- I warrant that no contributions have been made by these individuals in violation of Section 2-309 of the Municipal Campaign Finance Code.

#### \*4. Conflicts of Interest Questionnaire (CIQ)

Chapter 176 of the Local Government Code requires all contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

# \*Oath

I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.

* Your Name:	Title:
Robyn Popa, AIA, NCARB	Managing Principal, San Antonio
* Company Name or DBA:	Date:
Pfluger Architects, Inc.	7/21/2022



# **CITY OF SAN ANTONIO**

# **Contracts Disclosure Form**

Please print completed form and submit with proposal to originating department. All questions must be answered.

	propodarto	originating dope	intriorit. 7 in questions must be unswered.	
	For details on use of	this form, see Se	ection 2-59 through 2-61 of the City's Ethic	s Code.
*This is a:	New Submission	O Correction	O Update to previous submission	
			scious control of training rate flowers and	The Calleton Control of the Ca
*1) Name of pers	son submitting th	is disclosur	e form.	partition of the second R
* First		M.I	* Last	Suffix
Gabriel			Durand-Hollis	Marty Schmitt, CCM
*2) Contract Info	ormation			
a) Contract or Proje	ect Name:	no, majake	Engineering, Inc.	SAILETT TIWELLE
Architectural Services	for the 2022-2027 Gen	eral Obligation B	ond Program (RFP # PW060822DH)	
b) Originating Depa	rtment:	)esign ==	Bender Weils Clark D	Beth Wells
Public Works				√hris L. Schluitz
		eeking a cont	ract with the city. (NOTE: Give exac	Tar - man are managed that a
Name	aod, ii awaradan			
Durand-Hollis Ru	pe, Inc.			er any campaign at officeholier
b) Name and title of	contract signatory			
Name		Title	en cultilities also cheestign 31  againg scritted with the city (Creation 3)	L. ory expend of officer of suffice
Gabriel Durand-H	Iollis, FAIA	President/	Owner	
c) Name of all owne	ers, board members	, executive co	ommittee members, and officers of	entities listed in question
Туре		Name		es auturnado to les is a
Owner		Gabriel Du	ırand-Hollis, FAIA	

Owner

Alicia C. Trevino

*4) List any individual(s) or entity(ies) that is a partner, parent,	joint venture, or subsidiary
entity(ies) of the individual or entity listed in Question 3.	

☑ Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities.

Names of partner, parent, joint venture or subsidiary entities, and all the owners, board members, executive committee members, and officers of each entity:

### \*5) List any individuals or entities that will be subcontractors on this contract.

Not applicable. No su	ubcontractors	will be	retained	tor this	contract.
-----------------------	---------------	---------	----------	----------	-----------

- oxdot Subcontractors may be retained, but have not been selected at the time of this submission.
- List of subcontractors, including the name of the owner(s), and business name:

Owner	Business Name	
Marty Schmitt, CCM	AGCM, Inc.	
Dean Alderson	Alderson and Associates, Inc.	
Shawn Franke	Lundy & amp; amp; amp; Franke Engineering, Inc.	
Ayda Gonzales	AG3 Group, LLC	
Beth Wells	Bender Wells Clark Design	
Chris L. Schultz	Raba Kistner, Inc.	

# \*6) List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.

☑ Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.

List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:

### \*7) Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a. any individual seeking contract with the city (Question 3)
- b. any owner or officer of entity seeking contract with the city (Question 3)
- c. any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d. any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e. the spouse of any individual listed in response to (a) through (d) above
- f. any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)
- ☐ Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.
- List of contributions:

Name of Contributor	To Whom	Date of Contribution	Total Amount of Contribution	
Lawrence Clark	Roberto Trevino	05/01/2021	\$100.00	

## **Updates on Contributions Required**

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

### Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under Section 2-309 of the Municipal Campaign Finance Code, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a. Any individual seeking a high-profile contract;
- b. Any owner, officer of board, and executive committee member of an entity seeking a high-profile contract, excluding board officers and executive committee members of 501(c)(3), 501(c)(4) and 501(c)(6) non-profit organizations not created or controlled by the City whose board service is done strictly as a volunteer with no financial compensation and no economic gain from the non-profit entity;
- c. The legal signatory of the high-profile contract;
- d. Any attorney, lobbyist or consultant hired or retained to assist the individual or entity in seeking a high-profile contract;
- e. Subcontractors hired or retained to provide services under the high-profile contract; and
- f. Any first-degree member of the household of any person listed in (1), (2), (3) or (5) of this subsection.

<u>Penalty.</u> A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

### \*8) Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

Ethics Code for any City Council member or board/commission member that has not or with	ill not be raised by these city officials?
✓ I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of Council or a city board/commission.	
☐ I am aware of the following conflict(s) of interest:	
*9) Prohibited Interest in Contracts.	
Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, cf of consanguinity or affinity served on a City board or commission?	nild or other family member within the first degree
✓ No  ☐ Yes	

child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

No

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent,

☐ Yes

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or

commission?

✓ No

✓ Yes

## Notice Regarding Prohited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see Section 2-58 of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940

## **Acknowledgements**

#### \*1. Updates Required

I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

#### \*2. No Contact with City Officials or Staff during Contract Evalution

I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in Section 2-61 of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

#### \*3. Contribution Prohitibitions for "High-Profile" Contracts

- O This is not a high-profile contract.
- This is a high-profile contract.
- I acknowledge that this contract has been designated as a high-profile contract by the city. I further acknowledge that the following individuals are prohibited from making campaign or officeholder contributions to members of City Council, candidates for City Council, or political action committees that make contributions to City Council elections from the 10th business day after the solicitation has been released until 30 calendar days after the contract has been awarded: legal signatory to contract individual(s) seeking the contract, owner or officer of an entity seeking the contract, the spouse of any of these individuals, and any attorney, lobbyist, or consultant retained to assist in seeking the contract.
- I warrant that no contributions have been made by these individuals in violation of Section 2-309 of the Municipal Campaign Finance Code.

#### \*4. Conflicts of Interest Questionnaire (CIQ)

Chapter 176 of the Local Government Code requires all contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

# \*Oath

I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.

* Your Name:	Title:
Gabriel Durand-Hollis, FAIA	President/Owner
* Company Name or DBA:	Date:
Durand-Hollis Rupe, Inc. (dba DHR Architects, Inc.	8/15/2022

Please print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing

P.O. Box 839966

San Antonio, Texas 78283-3966

GR.1075-01.PUR.REPORT.Contracts Disclosure Form Rev. 09/07/2021



3a.

Type

# CITY OF SAN ANTONIO

# **Contracts Disclosure Form**

Please print completed form and submit with

proposal to originating department. All questions must be answered. For details on use of this form, see Section 2-59 through 2-61 of the City's Ethics Code. \*This is a: New Submission ○ Correction ○ Update to previous submission \*1) Name of person submitting this disclosure form. Suffix \* First M.I. \* Last В. Navarro Mark \*2) Contract Information a) Contract or Project Name: Architectural Services For The 2022-2027 General Obligation Bond Program (RFQ #PW060822DH) b) Originating Department: Public Works \*3) Disclosure of parties, owners, and closely related persons. a) Name of individual(s) or entity(ies) seeking a contract with the city. (NOTE: Give exact legal name as it will appear on the contract, if awarded.) Name Lewis Fisher Inc. Architects dba Fisher Heck, Inc. Architects b) Name and title of contract signatory Title Name Mark B. Navarro President

c) Name of all owners, board members, executive committee members, and officers of entities listed in question

Name

Owner	Mark B. Navarro		
Owner	Marc P. Johnson		
Owner	David M. Hannan, Jr.		
*4) List any individual(s) or entity(i entity(ies) of the individual or entity	es) that is a partner, parent, joint venture, or subsidiary y listed in Question 3.		
✓ Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities.  ☐ Names of partner, parent, joint venture or subsidiary entities, and all the owners, board members, executive committee nembers, and officers of each entity:			
*5) List any individuals or entities t	that will be subcontractors on this contract.		
✓ Not applicable. No subcontractors will be retained for this contract.  Subcontractors may be retained, but have not been selected at the time of this submission.  List of subcontractors, including the name of the owner(s), and business name:			
*6) List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.			
✓ Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.  ☐ List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:			
*7) Disclosure of political contribut	tions.		
Council, former member of City Council, any cand elections:  a. any individual seeking contract with the city b. any owner or officer of entity seeking contract. any individual or owner or officer of an entity d. any subcontractor or owner/officer of subcole. the spouse of any individual listed in response. The any attorney, lobbyist, or consultant retaine.  Not applicable. No campaign or officeholds. List of contributions:	act with the city (Question 3) by listed above as a partner, parent, or subsidiary business (Question 4) contracting entity retained for the contract (Question 5) hase to (a) through (d) above d to assist in seeking contract (Question 6) er contributions have been made in preceding 24 months by these individuals.		
<b>Updates on Contributions Required</b>			

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

## Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under Section 2-309 of the Municipal Campaign Finance Code, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

a. Any individual seeking a high-profile contract;

- b. Any owner, officer, officer of board, and executive committee member of an entity seeking a high-profile contract, excluding board officers and executive committee members of 501(c)(3), 501(c)(4) and 501(c)(6) non-profit organizations not created or controlled by the City whose board service is done strictly as a volunteer with no financial compensation and no economic gain from the non-profit entity:
- c. The legal signatory of the high-profile contract;
- d. Any attorney, lobbyist or consultant hired or retained to assist the individual or entity in seeking a high-profile contract;
- e. Subcontractors hired or retained to provide services under the high-profile contract; and
- f. Any first-degree member of the household of any person listed in (1), (2), (3) or (5) of this subsection.

<u>Penalty.</u> A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

days after the contract has been awarded.
*8) Disclosure of conflict of interest.
Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?
✓ I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.  ☐ I am aware of the following conflict(s) of interest:
*9) Prohibited Interest in Contracts.
Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?
✓ No  ☐ Yes
Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?
✓ No  ☐ Yes
Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?
☑ No

### Notice Regarding Prohited Interest in Contracts.

Yes

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see Section 2-58 of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940

## **Acknowledgements**

#### \*1. Updates Required

I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

## \*2. No Contact with City Officials or Staff during Contract Evalution

I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in Section 2-61 of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

#### \*3. Contribution Prohitibitions for "High-Profile" Contracts

- O This is not a high-profile contract.
- This is a high-profile contract.
- I acknowledge that this contract has been designated as a high-profile contract by the city. I further acknowledge that the following individuals are prohibited from making campaign or officeholder contributions to members of City Council, candidates for City Council, or political action committees that make contributions to City Council elections from the 10th business day after the solicitation has been released until 30 calendar days after the contract has been awarded: legal signatory to contract individual(s) seeking the contract, owner or officer of an entity seeking the contract, the spouse of any of these individuals, and any attorney, lobbyist, or consultant retained to assist in seeking the contract.
- I warrant that no contributions have been made by these individuals in violation of Section 2-309 of the Municipal Campaign Finance Code.

#### \*4. Conflicts of Interest Questionnaire (CIQ)

Chapter 176 of the Local Government Code requires all contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

✓ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

# \*Oath

I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.

* Your Name:	Title:	
Mark Navarro	President	

\* Company Name or DBA:

Date:

Fisher Heck, Inc. Architects

7/21/2022

Please print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing

P.O. Box 839966

San Antonio, Texas 78283-3966

GR.1075-01.PUR.REPORT.Contracts Disclosure Form Rev. 09/07/2021



# **CITY OF SAN ANTONIO**

# **Contracts Disclosure Form**

Please print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see Section 2-59 through 2-61 of the City's Ethics Code.

*This is a:	New Submission	∩ ○ Correction	n O Upo	late to previous s	ubmission	
*1) Name of pers	on submitting th	nis disclosu	re form			
* First		M.I.		* Last		Suffix
John		Р		Allender		
*2) Contract Info	rmation					
a) Contract or Proje	ct Name:					
Architectural Services	for the 2022-2027 Ger	neral Obligation	Bond Pro	gram RFQ#PW060	0822DH	
b) Originating Depa	rtment:					
Public Works						~
*3) Disclosure of	f parties, owners	, and closel	y relate	ed persons.		
a) Name of individual appear on the contract		seeking a con	ntract w	th the city. (NO	TE: Give exact leg	al name as it will
Name						
Architexas - Archi Preservation, Inc.	· -	and Historic	:			
b) Name and title of	contract signatory	′				
Name		Title				
John P. Allender		Principal				
c) Name of all owne 3a.	rs, board members	s, executive c	ommitte	ee members, an	d officers of entiti	es listed in question
Туре		Name				

Officer of Entity, Owner	Craig Melde
Officer of Entity, Owner	Larry Irsik
Officer of Entity, Owner	John Allender
Officer of Entity, Owner	David Chase
Owner	Susan Frocheur
*4) List any individual(s) or e entity(ies) of the individual o	ntity(ies) that is a partner, parent, joint venture, or subsidiary r entity listed in Question 3.
	es) does not have partner, parent, joint venture, or subsidiary entities. ture or subsidiary entities, and all the owners, board members, executive committee
*5) List any individuals or en	tities that will be subcontractors on this contract.
	will be retained for this contract. ut have not been selected at the time of this submission. ne name of the owner(s), and business name:
*6) List any attorneys, lobbyi or 5 to assist in seeking this	sts, or consultants retained by any individuals listed in Questions 3, 4, contract.
	rists, or consultants have been retained to assist in seeking this contract. sultants retained to assist in seeking this contract:
*7) Disclosure of political con	ntributions.
Council, former member of City Council, a elections:  a. any individual seeking contract with b. any owner or officer of entity seeking	• • •

- d. any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e. the spouse of any individual listed in response to (a) through (d) above
- f. any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)
- ☑ Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals. List of contributions:

## **Updates on Contributions Required**

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

## **Notice Regarding Contribution Prohibitions for "High-Profile" Contracts**

Under Section 2-309 of the Municipal Campaign Finance Code, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City

Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a. Any individual seeking a high-profile contract;
- b. Any owner, officer, officer of board, and executive committee member of an entity seeking a high-profile contract, excluding board officers and executive committee members of 501(c)(3), 501(c)(4) and 501(c)(6) non-profit organizations not created or controlled by the City whose board service is done strictly as a volunteer with no financial compensation and no economic gain from the non-profit entity:
- c. The legal signatory of the high-profile contract;
- d. Any attorney, lobbyist or consultant hired or retained to assist the individual or entity in seeking a high-profile contract;
- e. Subcontractors hired or retained to provide services under the high-profile contract; and
- f. Any first-degree member of the household of any person listed in (1), (2), (3) or (5) of this subsection.

<u>Penalty.</u> A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

*8) Disclosure of conflict of interest.
Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?
☑ I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.  ☐ I am aware of the following conflict(s) of interest:
*9) Prohibited Interest in Contracts.
Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?
✓ No
☐ Yes
Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?
☑ No
☐ Yes
Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or

#### **Notice Regarding Prohited Interest in Contracts.**

commission?

✓ No

✓ Yes

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see Section 2-58 of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended,

extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940

## **Acknowledgements**

#### \*1. Updates Required

I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

#### \*2. No Contact with City Officials or Staff during Contract Evalution

I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in Section 2-61 of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

#### \*3. Contribution Prohitibitions for "High-Profile" Contracts

- O This is not a high-profile contract.
- This is a high-profile contract.
- I acknowledge that this contract has been designated as a high-profile contract by the city. I further acknowledge that the following individuals are prohibited from making campaign or officeholder contributions to members of City Council, candidates for City Council, or political action committees that make contributions to City Council elections from the 10th business day after the solicitation has been released until 30 calendar days after the contract has been awarded: legal signatory to contract individual(s) seeking the contract, owner or officer of an entity seeking the contract, the spouse of any of these individuals, and any attorney, lobbyist, or consultant retained to assist in seeking the contract.
- I warrant that no contributions have been made by these individuals in violation of Section 2-309 of the Municipal Campaign Finance Code.

#### \*4. Conflicts of Interest Questionnaire (CIQ)

Chapter 176 of the Local Government Code requires all contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

*	O	2	+	h
	v	а	ш.	

I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.

* Your Name:	Title:		
John P. Allender	Principal		

\* Company Name or DBA:

Date:

Architexas - Architecture, Planning and Historic Preservation, Inc.

8/12/2022

Please print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing

P.O. Box 839966

San Antonio, Texas 78283-3966

GR.1075-01.PUR.REPORT.Contracts Disclosure Form Rev. 09/07/2021



# **CITY OF SAN ANTONIO**

# **Contracts Disclosure Form**

Please print completed form and submit with proposal to originating department. All questions must be answered. For details on use of this form, see Section 2-59 through 2-61 of the City's Ethics Code. \*This is a: ● New Submission ○ Correction ○ Update to previous submission \*1) Name of person submitting this disclosure form. \* First M.I. \* Last Suffix Scott W Carpenter \*2) Contract Information a) Contract or Project Name: ARCHITECTURAL SERVICES FOR THE 2022-2027 GENERAL OBLIGATION BOND PROGRAM (RFQ #PW060822DH) b) Originating Department: Public Works \*3) Disclosure of parties, owners, and closely related persons. a) Name of individual(s) or entity(ies) seeking a contract with the city. (NOTE: Give exact legal name as it will appear on the contract, if awarded.) Name Seventh Generation Design, Inc. b) Name and title of contract signatory Title Name Pamela J. Carpenter Principal, President, CEO Scott W. Carpenter Principal, Vice President, Secretary c) Name of all owners, board members, executive committee members, and officers of entities listed in question 3a.

Name

**Type** 

Officer of Entity	Pamela J. Carpenter
Officer of Entity	Scott W. Carpenter

# \*4) List any individual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entity listed in Question 3.

- ☑ Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities.
- Names of partner, parent, joint venture or subsidiary entities, and all the owners, board members, executive committee members, and officers of each entity:

## \*5) List any individuals or entities that will be subcontractors on this contract.

- Not applicable. No subcontractors will be retained for this contract.
- Subcontractors may be retained, but have not been selected at the time of this submission.
- ✓ List of subcontractors, including the name of the owner(s), and business name:

Owner	Business Name	
Natasha F. Uhlrich	UP Engineering, LLC	
Robert C. Andrews	Protection Development, Inc.	
Carrie E. and Brian K. Combs	Combs Consulting Group	
Elaine Kearney	The Broussard Group, Inc. dba TBG Partners	
Travis E. Wiltshire	CNG Engineering, PLLC	
Lori and Shawn Franke	Lundy & Franke Engineering, Inc.	
Belinda Williams	Project Cost Resources, Inc.	
Roetta C. and Mark C. Rock	Rock Engineering & Testing Laboratory, Inc.	

# \*6) List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.

- ✓ Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.
- List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:

## \*7) Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months to any current member of City Council, former member of City Council, or to any political action committee that contributes to City Council elections:

- a. any individual seeking contract with the city (Question 3)
- b. any owner or officer of entity seeking contract with the city (Question 3)
- c. any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d. any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)

- e. the spouse of any individual listed in response to (a) through (d) above
- f. any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

<b>✓</b>	Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals
	List of contributions:

### **Updates on Contributions Required**

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

### Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under Section 2-309 of the Municipal Campaign Finance Code, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a. Any individual seeking a high-profile contract;
- b. Any owner, officer of board, and executive committee member of an entity seeking a high-profile contract, excluding board officers and executive committee members of 501(c)(3), 501(c)(4) and 501(c)(6) non-profit organizations not created or controlled by the City whose board service is done strictly as a volunteer with no financial compensation and no economic gain from the non-profit entity;
- c. The legal signatory of the high-profile contract;
- d. Any attorney, lobbyist or consultant hired or retained to assist the individual or entity in seeking a high-profile contract;
- e. Subcontractors hired or retained to provide services under the high-profile contract; and
- f. Any first-degree member of the household of any person listed in (1), (2), (3) or (5) of this subsection.

<u>Penalty.</u> A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

## \*8) Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

🗹 I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City
Council or a city board/commission.
☐ I am aware of the following conflict(s) of interest:

### \*9) Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

☐ No

Yes

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

☐ No

Yes

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

☐ No

Yes

Selecting "Yes" requires disclosure of the name of the individual, name of the board, and dates of service (for each instance):

Name	Board/Commission	Start Date of Service	End Date of Service
Scott W. Carpenter	Historic and Design Review Commission	08/16/2018	05/31/2023

### **Notice Regarding Prohited Interest in Contracts.**

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see Section 2-58 of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940

# **Acknowledgements**

#### \*1. Updates Required

I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

#### \*2. No Contact with City Officials or Staff during Contract Evalution

I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in Section 2-61 of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

#### \*3. Contribution Prohitibitions for "High-Profile" Contracts

This is not a high-profile contract.

• This is a high-profile contract.

**✓** 

- I acknowledge that this contract has been designated as a high-profile contract by the city. I further acknowledge that the following individuals are prohibited from making campaign or officeholder contributions to members of City Council, candidates for City Council, or political action committees that make contributions to City Council elections from the 10th business day after the solicitation has been released until 30 calendar days after the contract has been awarded: legal signatory to contract individual(s) seeking the contract, owner or officer of an entity seeking the contract, the spouse of any of these individuals, and any attorney, lobbyist, or consultant retained to assist in seeking the contract.
- ✓ I warrant that no contributions have been made by these individuals in violation of Section 2-309 of the Municipal Campaign Finance Code.

#### \*4. Conflicts of Interest Questionnaire (CIQ)

Chapter 176 of the Local Government Code requires all contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

✓ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

# \*Oath

I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.

* Your Name:	Title:
Scott W. Carpenter	Vice President
* Company Name or DBA:	Date:
Seventh Generation Design, Inc.	8/2/2022

Please print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing

P.O. Box 839966

San Antonio, Texas 78283-3966

GR.1075-01.PUR.REPORT.Contracts Disclosure Form Rev. 09/07/2021

\* First

Davis



# CITY OF SAN ANTONIO

## **Contracts Disclosure Form**

Please print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see Section 2-59 through 2-61 of the City's Ethics Code. \*This is a: ● New Submission ○ Correction ○ Update to previous submission \*1) Name of person submitting this disclosure form. M.I. \* Last Suffix Sprinkle \*2) Contract Information a) Contract or Project Name: ARCHITECTURAL SERVICES FOR THE 2022-2027 GENERAL OBLIGATION BOND PROGRAM (RFQ #PW060822DH) b) Originating Department: \*3) Disclosure of parties, owners, and closely related persons. a) Name of individual(s) or entity(ies) seeking a contract with the city. (NOTE: Give exact legal name as it will appear on the contract, if awarded.)

Name

Public Works

Sprinkle & Co Architects, LLC

b) Name and title of contract signatory

Name **Title** Davis Sprinkle President

c) Name of all owners, board members, executive committee members, and officers of entities listed in question 3a.

Name **Type** Davis Sprinkle Owner

*4) List any individual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entity listed in Question 3.
✓ Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities.  ☐ Names of partner, parent, joint venture or subsidiary entities, and all the owners, board members, executive committee members, and officers of each entity:
*5) List any individuals or entities that will be subcontractors on this contract.
<ul> <li>Not applicable. No subcontractors will be retained for this contract.</li> <li>✓ Subcontractors may be retained, but have not been selected at the time of this submission.</li> <li>List of subcontractors, including the name of the owner(s), and business name:</li> </ul>
*6) List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.
✓ Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.  □ List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:

### \*7) Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a. any individual seeking contract with the city (Question 3)
- b. any owner or officer of entity seeking contract with the city (Question 3)
- c. any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d. any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e. the spouse of any individual listed in response to (a) through (d) above
- f. any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

<b>✓</b>	Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.
	List of contributions:

### **Updates on Contributions Required**

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

#### **Notice Regarding Contribution Prohibitions for "High-Profile" Contracts**

Under Section 2-309 of the Municipal Campaign Finance Code, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a. Any individual seeking a high-profile contract;
- b. Any owner, officer of board, and executive committee member of an entity seeking a high-profile contract, excluding board officers and executive committee members of 501(c)(3), 501(c)(4) and 501(c)(6) non-profit organizations not created or controlled by the City whose board service is done strictly as a volunteer with no financial compensation and no economic gain from the non-profit entity:
- c. The legal signatory of the high-profile contract;
- d. Any attorney, lobbyist or consultant hired or retained to assist the individual or entity in seeking a high-profile contract;

- e. Subcontractors hired or retained to provide services under the high-profile contract; and
- f. Any first-degree member of the household of any person listed in (1), (2), (3) or (5) of this subsection.

Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

## \*8) Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the City

Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials? ☑ I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission. I am aware of the following conflict(s) of interest: \*9) Prohibited Interest in Contracts. Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission? ✓ No Yes Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission? ✓ No Yes

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

✓ No

☐ Yes

### **Notice Regarding Prohited Interest in Contracts.**

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see Section 2-58 of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940

# **Acknowledgements**

#### \*1. Updates Required

I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

#### \*2. No Contact with City Officials or Staff during Contract Evalution

I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in Section 2-61 of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

#### \*3. Contribution Prohitibitions for "High-Profile" Contracts

$\supset$	This	is	not	а	hia	h-r	rofil	e d	cont	ract

- This is a high-profile contract.
- I acknowledge that this contract has been designated as a high-profile contract by the city. I further acknowledge that the following individuals are prohibited from making campaign or officeholder contributions to members of City Council, candidates for City Council, or political action committees that make contributions to City Council elections from the 10th business day after the solicitation has been released until 30 calendar days after the contract has been awarded: legal signatory to contract individual(s) seeking the contract, owner or officer of an entity seeking the contract, the spouse of any of these individuals, and any attorney, lobbyist, or consultant retained to assist in seeking the contract.
- I warrant that no contributions have been made by these individuals in violation of Section 2-309 of the Municipal Campaign Finance Code.

#### \*4. Conflicts of Interest Questionnaire (CIQ)

Chapter 176 of the Local Government Code requires all contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

✓ I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

# \*Oath

I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.

* Your Name:	Title:	
Davis Sprinkle	President	
* Company Name or DBA:	Date:	
Sprinkle & Co Architect	7/28/2022	

Please print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing

P.O. Box 839966 San Antonio, Texas 78283-3966

 ${\sf GR.1075\text{-}01.PUR.REPORT.Contracts\ Disclosure\ Form}$ 

Rev. 09/07/2021



# **CITY OF SAN ANTONIO**

# **Contracts Disclosure Form**

Please print completed form and submit with proposal to originating department. All questions must be answered.

For details on use of this form, see Section 2-59 through 2-61 of the City's Ethics Code.

*This is a:   New Sul	omission O Correction	O Update to previous submissi	on
*1) Name of person submi	tting this disclosure	e form.	
* First	M.I.	* Last	Suffix
Madeline	А	Slay	
*2) Contract Information			
a) Contract or Project Name:			
Architectural Services for the 2022-	2027 General Obligation B	ond Project	
b) Originating Department:			
Public Works			•
*3) Disclosure of parties, o	•	·	vo event logal parte as it will
a) Name of individual(s) or enti appear on the contract, if awar	ty(ies) seeking a cont	·	ve exact legal name as it will
a) Name of individual(s) or enti	ty(ies) seeking a cont ded.)	·	ve exact legal name as it will
a) Name of individual(s) or enti appear on the contract, if awar Name Madeline Anz Slay Architect	ty(ies) seeking a cont ded.) ure LLC, dba SLAY	·	ve exact legal name as it will
a) Name of individual(s) or enti appear on the contract, if awar Name Madeline Anz Slay Architector Architecture	ty(ies) seeking a cont ded.) ure LLC, dba SLAY	·	ve exact legal name as it will
a) Name of individual(s) or enti appear on the contract, if awar Name  Madeline Anz Slay Architector Architecture  b) Name and title of contract si	ty(ies) seeking a cont ded.) ure LLC, dba SLAY gnatory	·	ve exact legal name as it will
a) Name of individual(s) or entiappear on the contract, if award  Name  Madeline Anz Slay Architector Architecture  b) Name and title of contract si  Name  Madeline Anz Slay, AIA	ty(ies) seeking a cont ded.)  ure LLC, dba SLAY  gnatory  Title  Principal	ract with the city. (NOTE: Giv	ve exact legal name as it will

Madeline Anz Slay		

# \*4) List any individual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entity listed in Question 3.

✓	Not applicable. Contractin	g party(jes) d	does not have partne	er, parent, joint ventur	e. or subsidiary entities
	not applicable. Contractin	g pai ty (100) t	acco not nave partin	or, paront, joint vontar	o, or oubbraiding criticios

Names of partner, parent, joint venture or subsidiary entities, and all the owners, board members, executive committee members, and officers of each entity:

### \*5) List any individuals or entities that will be subcontractors on this contract.

	Not applicable.	No subcontrac	tors will be ret	ained for this	contract.
$\overline{}$	ITOL applicable.	. 110 30000111100		annea non unio	commact.

Owner

- Subcontractors may be retained, but have not been selected at the time of this submission.
- List of subcontractors, including the name of the owner(s), and business name:

Owner	Business Name
Chad Stranahan	C2 LandGroup, Inc.
Jodi P. Bole	DataCom Design Group, LLC.
William Harris	H2MG, LLC
Mehdi Mirmomeni, P.E.	H2MG, LLC
Ernesto Lopes del Castillo Jr., P.E.	H2MG, LLC
George Bocanegra	H2MG, LLC
Joseph H. Huizar	Intelligent Engineering Services, LLP
Michael M. Slay	Slay Engineering Company Inc

# \*6) List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.

<b>✓</b>	Not applicable. No attorne	vs, lobbyists	, or consultants have beer	n retained to assist in s	eeking this contract
----------	----------------------------	---------------	----------------------------	---------------------------	----------------------

List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:

#### \*7) Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months to any current member of City Council, former member of City Council, or to any political action committee that contributes to City Council elections:

- a. any individual seeking contract with the city (Question 3)
- b. any owner or officer of entity seeking contract with the city (Question 3)
- c. any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d. any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e. the spouse of any individual listed in response to (a) through (d) above
- f. any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

✓ Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.  □ List of contributions:
Updates on Contributions Required
Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.
Notice Regarding Contribution Prohibitions for "High-Profile" Contracts
Under Section 2-309 of the Municipal Campaign Finance Code, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:  a. Any individual seeking a high-profile contract; b. Any owner, officer, officer of board, and executive committee member of an entity seeking a high-profile contract, excluding board officers and executive committee members of 501(c)(3), 501(c)(4) and 501(c)(6) non-profit organizations not created or controlled by the City whose board service is done strictly as a volunteer with no financial compensation and no economic gain from the non-profit entity; c. The legal signatory of the high-profile contract; d. Any attorney, lobbyist or consultant hired or retained to assist the individual or entity in seeking a high-profile contract; e. Subcontractors hired or retained to provide services under the high-profile contract; and f. Any first-degree member of the household of any person listed in (1), (2), (3) or (5) of this subsection.  Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contract has been awarded.
*8) Disclosure of conflict of interest.
Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?
✓ I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.  ☐ I am aware of the following conflict(s) of interest:
*9) Prohibited Interest in Contracts.
Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?
✓ No  ☐ Yes
Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?
✓ No  ☐ Yes
Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?



## **Notice Regarding Prohited Interest in Contracts.**

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see Section 2-58 of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940

## **Acknowledgements**

#### \*1. Updates Required

I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

#### \*2. No Contact with City Officials or Staff during Contract Evalution

I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in Section 2-61 of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

#### \*3. Contribution Prohitibitions for "High-Profile" Contracts

- This is not a high-profile contract.
- This is a high-profile contract.
- I acknowledge that this contract has been designated as a high-profile contract by the city. I further acknowledge that the following individuals are prohibited from making campaign or officeholder contributions to members of City Council, candidates for City Council, or political action committees that make contributions to City Council elections from the 10th business day after the solicitation has been released until 30 calendar days after the contract has been awarded: legal signatory to contract individual(s) seeking the contract, owner or officer of an entity seeking the contract, the spouse of any of these individuals, and any attorney, lobbyist, or consultant retained to assist in seeking the contract.
- I warrant that no contributions have been made by these individuals in violation of Section 2-309 of the Municipal Campaign Finance Code.

*4.	Conflicts	of Interest	Questionnaire	(CIQ

Chapter 176 of the Local Government Code requires all contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

<b>~</b>	I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code					
		*Oath				
<b>✓</b>	I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of m knowledge and belief are true, correct, and complete.					
* Your l	Name:	Title:				
Madeline Anz Slay		Principal				
* Comp	eany Name or DBA:		Date:			
Madeline Anz Slay Architecture LLC, dba SLAY Architecture		hitecture	8/8/2022			

Please print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing

P.O. Box 839966

San Antonio, Texas 78283-3966

GR.1075-01.PUR.REPORT.Contracts Disclosure Form Rev. 09/07/2021



# **CITY OF SAN ANTONIO**

# **Contracts Disclosure Form**

Please print completed form and submit with proposal to originating department. All questions must be answered. For details on use of this form, see Section 2-59 through 2-61 of the City's Ethics Code. \*This is a: ● New Submission ○ Correction ○ Update to previous submission \*1) Name of person submitting this disclosure form. \* First M.I. \* Last **Suffix** Fred Α Simpson \*2) Contract Information a) Contract or Project Name: RFQ #PW060822DH Architectural Services for the 2022-2027 General Obligation Bond Program b) Originating Department: Public Works \*3) Disclosure of parties, owners, and closely related persons. a) Name of individual(s) or entity(ies) seeking a contract with the city. (NOTE: Give exact legal name as it will appear on the contract, if awarded.) Name Texas-IBI Group, Inc. b) Name and title of contract signatory **Title** Name Fred Andrew Simpson Director, Senior Practice Lead c) Name of all owners, board members, executive committee members, and officers of entities listed in question 3a.

Name

**Type** 

Officer of Entity	Mark French
Officer of Entity	Daniel Brewster
Officer of Entity	Fred Andrew Simpson
Officer of Entity	Myrna Norman
Officer of Entity	Sania Shifferd

# \*4) List any individual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entity listed in Question 3.

■ Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities.

Names of partner, parent, joint venture or subsidiary entities, and all the owners, board members, executive committee members, and officers of each entity:

Туре	Name
Parent	IBI Group, Inc.

#### Names of owners, executive committee members, officers, partners, and directors:

Туре	Name
Director	Claudia Krywiak
Director	John O. Reid
Director	David Thom
Director	Paula Sinclair
Director	Scott Stewart
Director	Sharon Ranson
Director	Michael Nobrega

## \*5) List any individuals or entities that will be subcontractors on this contract.

1	[ ]	NI - 4 11 1-1 -	Mariante and an address of the same	and the least of the second of	£
Ц	-	Not applicable.	No subcontractors	will be retained	tor this contract.

Subcontractors may be retained, but have not been selected at the time of this submission.

✓ List of subcontractors, including the name of the owner(s), and business name:

Owner	Business Name
Kiwa Limited Group	Raba Kistner, Inc.
Leticia Zavala, Albert Fernandez	Cfz Group Llc
Travis E. Wiltshire	CNG Engineering PLLC
Ronald A. Podojil	R-S-C-R, Inc.

Carrie E Combs, Brian K Combs

COMBS Consulting Group, LP

Rolando Ramirez, Raymond Tarin,
Jr., Duane A. Moy

Moy Tarin Ramirez (MTR)

Engineers, LLC

\*6) List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.

✓	Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.
---	--

List of attorneys, lobbyists, or consultants retained to assist in seeking this contract:

### \*7) Disclosure of political contributions.

List any campaign or officeholder contributions made by the following individuals in the past 24 months to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a. any individual seeking contract with the city (Question 3)
- b. any owner or officer of entity seeking contract with the city (Question 3)
- c. any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d. any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e. the spouse of any individual listed in response to (a) through (d) above
- f. any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

<b>✓</b>	Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.
	List of contributions:

## **Updates on Contributions Required**

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

#### Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under Section 2-309 of the Municipal Campaign Finance Code, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a. Any individual seeking a high-profile contract;
- b. Any owner, officer of board, and executive committee member of an entity seeking a high-profile contract, excluding board officers and executive committee members of 501(c)(3), 501(c)(4) and 501(c)(6) non-profit organizations not created or controlled by the City whose board service is done strictly as a volunteer with no financial compensation and no economic gain from the non-profit entity;
- c. The legal signatory of the high-profile contract;
- d. Any attorney, lobbyist or consultant hired or retained to assist the individual or entity in seeking a high-profile contract;
- e. Subcontractors hired or retained to provide services under the high-profile contract; and
- f. Any first-degree member of the household of any person listed in (1), (2), (3) or (5) of this subsection.

<u>Penalty.</u> A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

## \*8) Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials? I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission. I am aware of the following conflict(s) of interest: \*9) Prohibited Interest in Contracts. Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission? ✓ No ☐ Yes Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission? ✓ No ☐ Yes Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or

## **Notice Regarding Prohited Interest in Contracts.**

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see Section 2-58 of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940

## **Acknowledgements**

#### \*1. Updates Required

commission?

✓ No ✓ Yes

I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

#### \*2. No Contact with City Officials or Staff during Contract Evalution



I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in Section 2-61 of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

#### \*3. Contribution Prohitibitions for "High-Profile" Contracts

This is not a high-profile contract	a high-profile	contract
-------------------------------------	----------------	----------

- This is a high-profile contract.
- I acknowledge that this contract has been designated as a high-profile contract by the city. I further acknowledge that the following individuals are prohibited from making campaign or officeholder contributions to members of City Council, candidates for City Council, or political action committees that make contributions to City Council elections from the 10th business day after the solicitation has been released until 30 calendar days after the contract has been awarded: legal signatory to contract individual(s) seeking the contract, owner or officer of an entity seeking the contract, the spouse of any of these individuals, and any attorney, lobbyist, or consultant retained to assist in seeking the contract.
- I warrant that no contributions have been made by these individuals in violation of Section 2-309 of the Municipal Campaign Finance Code.

#### \*4. Conflicts of Interest Questionnaire (CIQ)

Chapter 176 of the Local Government Code requires all contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

# \*Oath

I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.

* Your Name:	Title:	
Fred Andrew Simpson	Director, Senior Practice Lead	
* Company Name or DBA:	Date:	
Texas-IBI Group, Inc.	7/27/2022	

Please print completed form and submit with proposal to originating department. All questions must be answered.

If necessary to mail, send to:

Purchasing

P.O. Box 839966

San Antonio, Texas 78283-3966

GR.1075-01.PUR.REPORT.Contracts Disclosure Form Rev. 09/07/2021